

# **COAST GUARD HEALTH PROMOTION MANUAL**

**COMDTINST M6200.1B May 07 2013** 

#### COMMANDANT UNITED STATES COAST GUARD

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COMDTINST M6200.1B

07 May, 2013

### COMMANDANT INSTRUCTION M6200.1B

## Subj COAST GUARD HEALTH PROMOTION MANUAL

Ref: (a) Weight and Body Fat Standards Program Manual, COMDTINST M1020.8 (series)

- (b) Coast Guard Medical Manual, COMDTINST M6000.1 (series)
- (c) Coast Guard Periodic Health Assessment (PHA), COMDINST 6150.3 (series)
- (d) Weight Management Self-Help Guide, COMDTPUB P6200.3 (series)
- (e) Crew Endurance Manual, COMDTINST M500.2 (series)
- (f) Coast Guard Drug and Alcohol Abuse Program Manual, COMDTINST M1000.10 (series)
- (g) Deployable Operations Group Physical Fitness Program, DOGINST 6110.0 (series)
- 1. <u>PURPOSE</u>. This Manual establishes policy, assigns responsibilities, and provides guidelines regarding physical fitness, nutrition, stress management, weight management, health risk reduction, substance abuse prevention, and unit health promotion program planning. It clarifies the roles and responsibilities for Commandant (CG-1111), the Health, Safety, and Work-Life Service Center (HSWL SC), Unit Health Promotion Coordinators (UHPC), Regional Health Promotion Managers (HPM), Substance Abuse Prevention Specialists (SAPS), the Substance Abuse Prevention Program Supervisor (SAPPS), Command Drug and Alcohol Representatives (CDAR), Food Service (FS), and Health Service (HS) personnel with respect to the Health Promotion program.
- 2. <u>ACTION</u>. All Coast Guard unit commanders, commanding officers, officers-in-charge, deputy/assistant commandants, and chiefs of headquarters staff elements shall comply with the provisions of this Manual. Internet release is authorized.
- 3. <u>DIRECTIVES AFFECTED</u>. Coast Guard Health Promotion Manual, COMDTINST M6200.1A, is cancelled.

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NON-STANDARD DISTRIBUTION:

- 4. MAJOR CHANGES. Major changes to this Manual include:
  - a. Revised format and organization in accordance with the Coast Guard Directives System, COMDTINST M5215.6 (series);
  - b. Commanding officers and officers-in-charge shall, operations permitting, allow all active duty members time for physical fitness activity during normal working hours;
  - c. Commanding officers and officers-in-charge shall, operations permitting, allow all civilian general schedule, wage grade, and senior executive service employees work time for voluntary participation in physical fitness activities;
  - d. Commands are required to develop a Unit Fitness Plan;
  - e. Expanded definition of tobacco use;
  - f. New unit requirements for annual nutrition assessment and program planning;
  - g. Mandated requirements for members on the weight program are contained in this manual;
  - h. Revised policies and procedures relating to prevention and treatment of alcohol abuse;
  - i. Revised and expanded Appendices.
- 5. <u>Definitions</u>. Resources, definitions, and commonly used terminology are provided in Appendix A.
- 6. <u>REQUESTS FOR CHANGES</u>. Units and individuals may recommend changes in writing via the chain of command to COMMANDANT (CG-111), U. S. COAST GUARD HEADQUARTERS, 2100 2ND ST SW STOP 7902, WASHINGTON DC 20593-7902.
- 7. <u>DISCLAIMER</u>. This guidance is not a substitute for applicable legal requirements, nor is it itself a rule. It is intended to provide operational guidance for Coast Guard personnel and is not intended to nor does it impose legally-binding requirements on any party outside the Coast Guard.
- 8. RECORDS MANAGEMENT CONSIDERATIONS. This Manual has been evaluated for potential records management impacts. The development of this Manual has been thoroughly reviewed during the directives clearance process, and it has been determined there are further records scheduling requirements, in accordance with Federal records Act U.S.C. 3101 et seq., National Archives and Records Administration (NARA) requirements, and the Information and Life cycle Management Manual, COMDTINST M5212.12 (series). This policy does not have any significant or substantial change to existing records management requirement.

# 9. ENVIRONMENTAL ASPECT AND IMPACT CONSIDERATIONS.

a. The development of this Instruction and the general policies contained within it have been thoroughly reviewed by the originating office in conjunction with the Office of Environmental Management, and are categorically excluded (CE) under current USCG CE # 33 from further environmental analysis, in accordance with Section 2.B.2 and Figure 2-1 of the National Environmental Policy Act Implementing Procedures and Policy for Considering Environmental Impacts, COMDTINST M16475.1 (series). Because this Manual contains guidance on documents that implement, without substantive change the applicable Commandant Instruction and other guidance documents, Coast Guard categorical exclusion #33 is appropriate.

- b. This directive will not have any of the following: significant cumulative impacts on the human environment; substantial controversy or substantial change existing environmental conditions; or inconsistencies with any Federal, state, or local laws or administrative determinations relating to the environment. All future specific actions resulting from the general policies in this Manual must be individually evaluated for compliance with the National Environmental Policy Act (NEPA), DHS and Coast Guard NEPA policy.
- 10. FORMS/REPORTS. The forms referenced in this Manual are available in USCG Electronic Forms on the Standard Workstation or on the Internet: <a href="http://www.uscg.mil/forms/">http://www.uscg.mil/forms/</a>, CG Portal at <a href="https://cgportal.uscg.mil/delivery/Satellite/uscg/References">https://cgportal.uscg.mil/delivery/Satellite/uscg/References</a>; and Intranet at <a href="http://cgweb.comdt.uscg.mil/CGForms">http://cgweb.comdt.uscg.mil/CGForms</a>.

RADM MAURA K. DOLLYMORE /s/ Director, Health, Safety and Work-Life

RECORD OF CHANGES							
CHANGE NUMBER	DATE OF CHANGE	DATE ENTERED	BY WHOM ENTERED				

# TABLE OF CONTENTS

СНАРТ	TER 1. HEALTH PROMOTION PROGRAM OVERVIEW	
A.	Policy	1-1
B.	Overview	1-1
C.	Program Elements	1-1
D.	Organizational Benefits	1-1
E.	Purpose	1-1
F.	Applicability	1-2
G.	Program Implementation	1-2
H.	Duties and Responsibilities	1-2
СНАРТ	TER 2. DISEASE PREVENTION AND HEALTH RISK REDUCTION	
A.	Introduction	2-1
B.	Health Risk Assessments (HRA)	2-1
C.	Health Risk Assessments Overview	2-1
D.	Duties and Responsibilities	2-4
СНАРТ	TER 3. NICOTINE USE POLICY	
A.	Introduction	3-1
B.	Definition	3-1
C.	Discussion	3-1
D.	Policy	3-1
E.	Tobacco Cessation Resources	3-3
F.	Nicotine Replacement Therapy (NRT) and Tobacco Cessation Aids	3-3
G.	Duties and Responsibilities	
СНАРТ	TER 4. PHYSICAL FITNESS	
A.	Introduction	4-1
B.	Policy	4-1
C.	Duties and Responsibilities	4-2
СНАРТ	TER 5. NUTRITION AND WEIGHT MANAGEMENT	
A.	Weight Management Overview	5-1
B.	Nutrition Overview	
C.	Dietary Supplements Overview	5-1
D.	Choosing Healthy Options for Wellness (CHOW)	
E.	Policy	
F.	Duties and Responsibilities	

CHAPT	ER 6. STRESS MANAGEMENT	
A.	Introduction	6-1
B.	Policy	6-1
C.	Duties and Responsibilities	6-2
CHAPT		
A.	Introduction	
В.	Program Overview	
C.	General Policy	
D.	Applicability	
E.	Duties and Responsibilities	
F.	Training and Education Requirements	
G.	Medical Referrals, Screenings, and Action for Alcohol and/or Drugs	
H.	Treatment	7-11
I.	Aftercare Plans for Alcohol Abuse	7-14
J.	Rehabilitation Failure	7-17
K.	Paperwork and Records Management for Substance Abuse Cases	7-17
APPEN	DIX A. RESOURCES, DEFINTIONS, AND COMMONLY USED TERMINOLOGY	Δ_1
APPEN		
THI LIV		
LIST O	F TABLES	
Table 6	1: Operational Stress Control Continuum	6-1
Table B	-1: Waist-to-Hip Guidelines	B-3
Table E	-1: 1.5 Mile Norms for Men	E-3
Table E	-2: 1.5 Mile Norms for Women	E-3
Table E	-3: 1 Mile Walk Test Male Norms Max VO2	E-4
Table E	-4: 1 Mile Walk Test Female Norms Max VO2	E-4
Table E	-5: 1 Mile Walk Test Norms in Minutes	E-4
Table E	-6: Push Up Test Norms for Men 1 Minute Test	E-5
Table E	-7: Push Up Test Norms for Women 1 Minute Test	E-6
Table E	-8: Push Up Test Norms for Modified Push Up	E-6
	-9: Sit-Up Norms for Men 1 Minute	
	-10: Sit-Up Norms for Women 1 Minute	
	-11: Test Scores for the Curl-up	

Table E-12: Sit and Reach Flexibility Norms for Men (inches)	E-9
Table E-13: Sit and Reach Flexibility Norms for Women (inches)	E-9
Table E-14: Vertical Jump Test Norms Men	E-10
Table E-15: Vertical Jump Test Norms Women	E-10
Table E-16: 300 Meter Run Norms Males	E-11
Table E-17: 300 Meter Run Norms Females	E-11

#### CHAPTER 1. HEALTH PROMOTION PROGRAM OVERVIEW

- A. <u>Policy</u>. The Coast Guard is committed to supporting the health of its workforce through health promotion policy, programs, education, and services to ensure mission readiness. All members are required to adhere to Commandant physical activity and weight and body fat standards. In addition, members are strongly encouraged to adopt a healthy lifestyle including eating nutritious foods that enhance performance, avoiding tobacco use, getting enough sleep, using alcohol prudently, obtaining preventive evidence-based screening tests, and learning how to effectively manage stress. Based on the principle that leadership plays an integral part in a successful health promotion program, commanding officers and officers-in-charge are required to implement and adhere to all policies contained herein, particularly the requirements to develop annual unit health promotion plans.
- B. Overview. A healthy and fit Coast Guard workforce is critical for optimal mission performance. An abundance of research shows that lifestyle factors such as dietary choices, exercise habits, stress management methods and alcohol/tobacco use are key determinants of health outcomes, risk of injury, and work performance. Implementation of this program helps participants stay physically fit for duty, maintain a healthy weight, and reduce risks attributed to lifestyle imbalances. The program also helps commands establish a work environment that supports healthy life practices. Collectively, program elements help ensure that the Coast Guard workforce is able to fulfill mission requirements and help members live healthy, balanced and satisfying lives.
- C. Program Elements. The core elements of the Coast Guard Health Promotion Program are:
  - 1. Health promotion programming;
  - 2. Disease prevention and health risk reduction;
  - 3. Nicotine abstinence;
  - 4. Physical fitness;
  - 5. Nutrition and weight management;
  - 6. Stress Management; and,
  - 7. Substance abuse prevention and treatment.
- D. <u>Organizational Benefits</u>. Research shows that organizations that implement health promotion policies and programs experience significantly lower health care costs, fewer disability claims, decreased absenteeism and increased productivity, morale, and retention. The policies, programs and interventions developed by the Coast Guard Health Promotion Program are well researched and proven effective. They adhere to recommendations made by the U.S. Centers for Disease Control and Prevention (CDC), the Department of Health and Human Services (HHS), Healthy People 2020 national goals, scientifically reputable health organizations, and the Department of Defense (DoD). The guidance in this Manual will supersede the guidance in the previously mentioned references unless required by applicable law.
- E. Purpose. This Manual:
  - 1. Establishes policy for alcohol screening and treatment, and substance abuse prevention and education, for all active duty and selected reserve personnel;

- 2. Provides policy and practical guidance that promotes healthy behavior related to weight management, physical fitness, nutrition, stress management, and health risk reduction;
- 3. Establishes nicotine use policy for all personnel, and provides guidance to commands on workplace tobacco use;
- 4. Sets forth and describes unit Health Promotion Program requirements; and,
- 5. Identifies the responsibilities for stakeholders in health promotion outcomes.
- F. <u>Applicability</u>. Policy and programs within this Manual apply to all Coast Guard active duty personnel and reservists on active duty for 31 or more consecutive days and when specified, to other reservists and civilian personnel.
- G. <u>Program Implementation</u>. The following entities are responsible for implementation of the policies in this Manual:
  - 1. <u>Accession Points and Training Centers</u>. Training Center Cape May, Training Center Yorktown, Training Center Petaluma, Aviation Training Center Elizabeth City, Officer Candidate School, and the Coast Guard Academy are required to include health promotion training in their curricula.
  - 2. <u>Leadership and Class A and C schools</u>. The Chief Petty Officer Academy and Chief Warrant Officer Professional Development School shall include health promotion curricula in their training schedules. In addition, an introduction to the Health Promotion Program is provided during some Class "A" and "C" schools. The curricula at Food Service Specialist Class "A" and "C" schools shall incorporate some health promotion elements, with a strong emphasis on nutrition.
  - 3. <u>Regional Level</u>. Coast Guard health promotion initiatives and policy are implemented by regional Health Promotion Managers (HPM) and Substance Abuse Prevention Specialists (SAPS). They are field level subject matter experts and are charged to assist commands with development of unit programs. HPMs and SAPSs also provide program guidance and consultation to Leadership, Class "A", and Class "C" schools within their AOR.
  - 4. <u>Command and Unit Level</u>. Endorsement and visible support by command leadership (Commanding Officer, Executive Officer, Officer-In-Charge, Command Master Chief, and Executive Petty Officer) is critical for the success of Health Promotion Programs at the unit level.
- H. <u>Duties and Responsibilities</u>. This section outlines the duties and responsibilities for overall program development. Refer to the succeeding chapters for specific guidance related to each program element.
  - Commandant (CG-11). The HSWL Directorate serves as authority over the policy and programs outlined in this Manual, which are managed by Commandant (CG-111), the Office of Work-Life.
  - 2. <u>Commandant (CG-1111)</u>. The Health Promotion Division of the Office of Work-Life (CG-111), the provides leadership and oversight for all division functions. Commandant (CG-1111) shall:
    - a. Policy.

- (1) Develop vision and a strategic plan for the Health Promotion Program.
- (2) Initiate changes to this Manual in response to organizational needs and to reflect changes in best practices and advances in health promotion.

## b. Program Development.

- (1) Serve as liaison to external partners including the Department of Homeland Security (DHS), Department of Defense (DoD), Department of Health and Human Services (HHS), inter-agency health promotion stakeholders, and other national health organizations.
- (2) Inform key stakeholders within Commandant (CG-11) of health promotion priorities and required action.
- (3) Establish quality improvement measurement standards in collaboration with the HSWL SC to evaluate health promotion field operations.
- (4) Provide oversight for research and evaluation of the health-related behavior of Coast Guard personnel.

## c. Resource Management.

- (1) Secure funding and provide financial oversight for Health Promotion Division programs.
- (2) Work collaboratively with the HSWL SC to identify HSWL Regional Practice (RP) staffing requirements and develop resource proposals to meet those needs.
- (3) Develop the funding structure for the Health Promotion Division and communicate funding responsibilities to the Health Promotion Program Manager (HPPM), Substance Abuse Program Manager (SAPM), and HSWL SC.
- d. Training and Education. Provide professional development opportunities to Health Promotion Division staff to maintain subject matter expertise.

#### e. Marketing.

- (1) Communicate to leadership the functional benefits of the program to operational readiness.
- (2) Oversee the development of the communication and marketing plan to ensure concise and consistent promotion of program vision, services, and benefits.
- (3) Use a variety of media and technology to communicate program goals and objectives, policy, initiatives, and expected outcomes.
- 3. <u>Health Promotion Program Manager (HPPM)</u>. The HPPM, under the direction of the Health Promotion Division Chief, shall:
  - a. Program Development.
    - (1) Develop and disseminate the annual Health Promotion Program strategic plan.
    - (2) Assess the Health Promotion Program by evaluating quarterly productivity reports against needs assessments developed from Health Risk Assessment (HRA) reports.

- (3) Serve as liaison to the Office of Military Personnel, Commandant (CG-122), on health promotion issues associated with weight and body fat standards for military personnel, as directed by Reference (a).
- (4) Serve as the physical fitness subject matter expert to Coast Guard programs internal and external to Commandant (CG-11).
- (5) Serve as liaison to the HSWL SC to support policy initiatives and collaborate on all aspects of program implementation and evaluation.
- (6) Work in collaboration with the Food Service and Substance Abuse Prevention Program Managers and the Morale, Well-Being, and Recreation (MWR) Program Managers on cross-program initiatives to ensure consistent implementation and management.
- (7) Facilitate the development, evaluation, and implementation of education programs and training curricula to improve health-related behavior.
- (8) Develop and evaluate programs to improve the physical fitness of Coast Guard members and beneficiaries.
- (9) Coordinate workgroups with HPMs and other personnel as appropriate to address health promotion program issues and emerging initiatives.
- (10) Develop, disseminate, and analyze the program evaluation plan in collaboration with the HSWL SC.
- (11) In conjunction with the HSWL SC, standardize HPM position descriptions and requirements.
- (12) Establish standards for the Health Promotion Program and services.
- (13) Develop initiatives for the Health Promotion Program in collaboration with key stakeholders.

#### b. Policy.

- (1) Serve as the subject matter expert on all health promotion policy and provide policy interpretation to personnel.
- (2) Develop Health Promotion Program policy.

## c. Resources.

- (1) Evaluate and/or develop select standard reference and educational materials to be provided by Commandant (CG-1111) to all HPMs.
- (2) Participate on HSWL SC and HPM hiring panels to provide technical expertise.

## d. Budget.

(1) Provide funds to HSWL SC for disbursal to HSWL Regional Managers (RM) for purchase of supplies such as books, cholesterol materials, and Stress Profilers necessary to conduct health promotion workshops, seminars, Personal Wellness Profiles (PWP), health screenings, and, to instruct a minimum of one regional UHPC "C" School, annually.

(2) Secure funding to support development, evaluation, and maintenance of a standardized UHPC "C" School curriculum and meet training quota needs.

## e. Training and Education.

- (1) Funds permitting coordinate a minimum of one health promotion conference annually to address programmatic issues and initiatives.
- (2) Funds permitting provide a minimum of one annual professional development opportunity for HPMs.
- (3) Coordinate development, evaluation, and maintenance of a standardized UHPC "C" School curriculum with FORCECOM FC-51, Training and Education Branch, and with the HSWL SC.

## f. Marketing.

- (1) Develop a Health Promotion Program communication/marketing plan to ensure concise and consistent promotion of program vision and services, to include monthly awareness campaigns and program initiatives.
- (2) Develop ALCOAST messages to promulgate changes in health promotion policy and implement program initiatives.
- (3) Provide program marketing guidance and materials to HPMs in coordination with HSWL SC.
- (4) Develop and maintain health promotion content on the Commandant (CG-111) website.
- (5) Establish and maintain information networks, such as the health promotion place on the Coast Guard Portal.
- (6) Prepare and deliver briefings on health promotion topics as requested and required.

## 4. HSWL SC shall:

- a. Assist Commandant (CG-1111) in the development of the Health Promotion Program strategic plan, marketing plan, measurement and evaluation.
- b. Designate a health care provider liaison with Commandant (CG-111) responsible for participating in the development of disease prevention initiatives.
- c. Conduct quality improvement site visits with each HSWL RM, in accordance with HSWL SC compliance inspection checklists.
- d. Collect and report health promotion data quarterly to support Commandant (CG-1111) program evaluation efforts.
- e. Analyze program data and provide Commandant (CG-1111) with a program summary report annually, or as requested due to emergent needs.
- f. Participate in Commandant (CG-1111) teleconferences, meetings, and web-based trainings.

- g. Assist in developing the annual Commandant (CG-1111) health promotion conference/fitness symposium.
- h. Manage and disburse funds in collaboration with Commandant (CG-1111) to support HP field operations for targeted funded activities.
- i. Develop and implement a system to track training and other services provided by HPMs and provide reports to Commandant (CG-1111) on outcomes.
- j. Collaborate with Commandant (CG-1111) for the development and ongoing evaluation of a standardized UHPC "C" School curriculum.
- k. Serve as liaison to Coast Guard medical communities to support policy initiatives and collaborate with clinics and Independent Duty Health Technicians (IDHS).
- 5. Regional Health Promotion Manager (HPM). Regional HPMs are attached to the HSWL SC RPs. HPMs are health promotion subject matter experts. They shall provide guidance to commands, training and oversight to UHPCs, and health promotion services to Coast Guard beneficiaries. Within their area of responsibility (AOR), all regional HPMs shall:
  - a. Program Development.
    - (1) Coordinate with HSWL SC staff and the HSWL RM to implement the health promotion program.
    - (2) Participate in Commandant (CG-1111) teleconferences, meetings, and/or webbased training.
    - (3) Collaborate with Commandant (CG-1111) and the HSWL SC on updates to policies, manuals, publications and training materials.
    - (4) Maintain a record (electronic or paper) for each unit within their AOR to include current UHPCs and other command contact information, UHPC designation letters, copies of local health promotion instructions, and a record of services/training provided to each unit and UHPC for the past year.
    - (5) Provide HSWL SC staff with periodic service delivery reports and program data in accordance with Commandant (CG-1111) and HSWL SC quality improvement guidelines and reporting requirements to assist with the development of program initiatives, funding requirements, strategic plans, and program evaluation.
    - (6) Identify and disseminate national, state and regional health promotion resources for use by CG beneficiaries throughout the AOR. To ensure resources and services are being identified for beneficiaries throughout the AOR.
    - (7) Establish and maintain collaborative working relationships with unit commands, UHPCs, Coast Guard Medical Officers, MWR Officers, SAPS, Food Service Specialists (FS), and the various members of the HSWL RP Staff.
    - (8) Recommend members who present with clinical risk factors to follow-up care.
    - (9) Inform the HSWL SC staff and Commandant (CG-1111) of health promotion needs, issues, activities, and outcomes occurring within their AOR.
    - (10) Maintain a National Academy of Sports Medicine, Certified Personal Trainer certificate (or equivalent approved by program manager).

- (11) Complete annual HIPAA Training.
- (12) Maintain a CPR certification.
- (13) Complete a health promotion director course or provide documented equivalent experience approved by program manager. Recommended, courses are: Health Promotion Director Course by Cooper Institute, Certified Health Education Specialist (CHES), ACSM/National Society of Physical Activity Practitioners in Public Health (ACSM/NSPAPPH).

## b. Resource Management.

- (1) Develop and submit an annual spend plan via the chain of command to the HSWL SC. This should address at least the following needs:
  - (a) Cholesterol and other health screening supplies;
  - (b) PWP materials;
  - (c) Stress Profilers;
  - (d) UHPC course textbooks and related materials;
  - (e) Educational materials; and,
  - (f) Travel funds.
- (2) Maintain a current electronic and/or physical resource library.
- c. Training and Education.
  - (1) Funds permitting attend the annual Health Promotion Symposium as directed by Commandant (CG-1111); and, attend at least one annual professional development conference, meeting, or symposium.
  - (2) Contact all commands annually via e-mail, site visit, or telephone to review the command's Health Risk Assessment (HRA) Report. Document communication and recommendations in an individual unit folder; folder can be hard copy or electronic. Make specific recommendations for the commanding officer and UHPC for improvement in all categories where 50% or greater of the crew is considered to be "unhealthy."
  - (3) Coordinate and facilitate at least one UHPC course annually within the AOR.
  - (4) Co-instruct, with supervisor approval, a minimum of one UHPC course outside their AOR annually.
  - (5) Provide health promotion trainings at Coast Guard leadership and professional development schools when operationally capable and with supervisor approval.
  - (6) Complete the Coast Guard Instructor Development Course "C" School.
  - (7) Provide ongoing mentoring, training, and resources to all primary UHPCs via telephone, email, and/or in person.
  - (8) Provide annual training to all UHPCs on health promotion policy and UHPC responsibilities as prescribed in this Manual.

- (9) Serve as the advisor to commands on health promotion programming issues.
- (10) Provide guidance on health promotion issues to all individual customers as requested.
- (11) Conduct a minimum of six trainings annually within the AOR, to include any of the following topics: weight management, nutrition, stress management, nicotine cessation, healthy lifestyle, physical fitness, and risk reduction.
- (12) Provide HPM program briefing in person or telephonically to all new UHPCs, those not able to attend the HPC course, but are functioning as UHPCs, and UHPCs that have not attended a HPC course within the past 24 months.

## d. Marketing.

- (1) Develop and implement a marketing strategy tailored to the needs of their AOR using a variety of media. Submit this plan, with their annual spend plan, to the HSWL SC Work-Life Division Chief. The marketing plan should address the following:
  - (a) Unit Choosing Healthy Options for Wellness (CHOW) assessment;
  - (b) PWPs;
  - (c) Tobacco cessation;
  - (d) Commandant (CG-1111) sponsored weight management programs;
  - (e) Physical Health Instruction Training (PHIT) Program or equivalent exercise program which follows the same methodology;
  - (f) Stress Profilers and or Operational Stress Control; and,
  - (g) Unit HRA executive summary.
- (2) Market Commandant (CG-1111) program initiatives to partner providers, commands and supervisors, and individual end-user customers.

## 6. Commanding Officers and Officers-in-Charge shall:

#### a. Administrative.

- (1) Designate a primary UHPC in writing. Units also have the option to designate one or more alternate UHPCs. Alternate UHPCs are highly recommended for larger units. Submit a copy of the designation letters to the regional HPM. A sample of the designation letter can be found at the following website: <a href="http://www.uscg.mil/worklife/docs/UHPCLETTER.docx">http://www.uscg.mil/worklife/docs/UHPCLETTER.docx</a>. The UHPC is not required to attend training prior to designation by the command, but shall submit a training request via email to their HPM for a class as soon as possible within their first year of assignment.
- (2) Ensure newly designated UHPCs (or those not able to attend the HPC course, but are functioning as UHPCs, and UHPCs that have not attended an HPC course within the past 24 months) are briefed and certified by the regional HPM before assuming duties.
- (3) The UHPC must meet the following qualifications:

- (a) Be free of tobacco products for a minimum of twelve months.
- (b) Be compliant with the weight and body standards for Coast Guard military personnel per Reference (a).
- (c) Possess good communication and leadership skills, an approachable and empathetic demeanor, and a willingness to implement fitness programs and assist others in reaching their health goals.
- (d) Be enthusiastic about all healthy lifestyle behaviors, not just physical fitness, and committed to the essential elements of health promotion.
- (e) Abstain from alcohol or use it responsibly.
- (f) Have at least two years remaining at a shore unit or one year remaining on an afloat unit, when operationally possible.
- (g) Hold rank of petty officer or above (exceptions made for small units). At the commanding officer's discretion, a reservist, auxiliarist, or civilian employee may represent the unit if they meet all other qualifications.
- (h) Meet the minimum boat crew fitness qualifications prior to attending the UHPC "C" School.
- (4) Ensure the UHPC is listed on the indoctrination check-in sheet for all reporting members.
- b. Funding. Commands are authorized to use appropriated funds for unit health promotion programs as authorized by the Financial Resource Management Manual (FRMM), COMDTINST M7100.3 (series). Non-appropriated funds may also be available to support health promotion activates, as authorized in the Coast Guard Morale, Well-Being, and Recreation Manual, COMDTINST M1710.13 (series).
- c. Program Planning.
  - (1) Establish and actively support an environment that enables unit members to routinely engage in healthy lifestyle behaviors and make informed health choices. This includes, work time for physical fitness training, tobacco free-environment, healthy food choices, and stress and health risk reduction.
    - (a) Review annually the Executive Summary of the command's HRA. In consultation with their regional HPM evaluate the report and develop an annual Unit Health Promotion Program plan.
    - (b) Grant UHPC work time weekly to fulfill UHPC roles and responsibilities to include, but not limited to, developing and implementing a unit Health Promotion Plan, coordinating unit fitness activities, administering fitness tests, and providing support to members on the weight program.
  - (2) Grant excused absences for active duty members and civilian employees to take part in one-time or occasional programs that are of short duration. Examples of these include: an officially sponsored federal fitness day event, an agency sponsored health screening, a fitness center orientation, or a smoking cessation program consisting of several brief classes. Any additional questions regarding

- the use of official duty time in health and fitness activities and its applicability to civilian employees should be directed to the servicing Command Staff Advisor.
- 7. <u>Unit Health Promotion Coordinator (UHPC)</u>. UHPCs are not subject matter experts in health promotion. However, upon completion of the UHPC course, UHPCs will have the fundamental knowledge and skills to provide individual guidance and coordinate unit programs. UHPCs shall:
  - a. Program Planning.
    - (1) Establish a health promotion committee. Committee membership shall include: UHPC, Medical Officer (where available), Command Drug and Alcohol Representative (CDAR), Command Master Chief (CMC), Unit Food Service Officers or FS Specialists, Corpsman, Morale Officer, and other interested individuals as approved by the command.
    - (2) Coordinate with the Regional HPM to assess the needs and interests of unit members using the command HRA report, unit surveys, and PWP report findings.
    - (3) Establish a funding mechanism, by partnering with the command, to support unit initiatives, and coordinate with HPM (e.g., Coast Guard Foundation Shipmate Fund, DoD equipment) and morale officer for financial and other logistical support of Health Promotion Program elements such as fitness equipment.
    - (4) Act as the liaison for the regional HPM.
      - (a) Refer members requesting assistance beyond the scope of the UHPC's training to the regional HPM for further guidance.
      - (b) Consult with the regional HPM for guidance on purchases, resources, environmental assessments, unit fitness policies, CHOW, and weight management compliance and education.
    - (5) Maintain educational material and a resource list of local and national resources for all health promotion program elements (e.g., substance abuse, tobacco cessation, physical fitness, weight management, nutrition, and stress management). Refer to the regional HPM and the following web page for resources <a href="http://www.uscg.mil/worklife/health">http://www.uscg.mil/worklife/health</a> promotion coordinator.asp
    - (6) Partner with Coast Guard Medical Officers to develop and deliver health promotion activities.
    - (7) Utilize community and Department of Defense (DoD) resources for health promotion activities.
  - b. Training and Education.
    - (1) Attend the UHPC course within one year of appointment as the UHPC.
    - (2) Contact the regional HPM to receive initial training and for guidance on current program policies and initiatives via email, telephone, or in person prior to performing duties as a UHPC. This is especially important for those not able to attend the HPC course, but is functioning as UHPCs, and UHPCs that have not attended an HPC course within the past 24 months.

- (3) Coordinate or provide one all-hands health promotion training at the unit annually, providing an overview of all program elements (e.g., tobacco cessation, physical fitness, weight management, nutrition, and stress management) in coordination with and approval of the regional HPM.
- (4) Educate commands on elements and requirements of the health promotion program.

## c. Program Marketing.

- (1) Distribute health promotion information, initiatives, and policy updates to all unit members via bulletins, emails, presentations (electronic or hard copy), and other available media as provided and/or approved by the regional HPM.
- (2) Establish and maintain a health promotion resource library for all health promotion program elements (e.g., tobacco cessation, physical fitness, weight management, nutrition, and stress management).
- (3) Promote participation in unit health promotion activities and programs, to include civilian employee participation, as well as family members when permitted.

#### d. Data Collection.

- (1) Provide weight probation data to the regional HPM at least every 30 days using the (MAW Participant Tracking Form); or the Work-life Information Management System (WIMS) as directed by the regional HPM.
- (2) Provide data on health promotion activities to the regional HPM once a quarter using the <a href="http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp"><u>UHPC Data Collection Form</u></a> located on the following site: <a href="http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp"><u>http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp</u></a>; or WIMS as directed by the regional HPM.
- 8. <u>Food Service Officer (FSO)</u>. FSOs are not subject matter experts in nutrition or healthy cooking. However they have the fundamental knowledge and skills to prepare nutritious meals that meet the following guidelines. FSOs shall:
  - a. Provide nutrition information on menu items to enable patrons to make informed choices, wherever possible.
  - b. Serve portion sizes in accordance with the Armed Forces Recipe Service (AFRS) recommendations.
  - c. Maximize use of healthy cooking techniques in meal preparation. Examples include:
    - (1) Baking versus frying.
    - (2) Steaming versus boiling vegetables.
    - (3) Avoiding use of butter and lard or oils high in saturated fat (palm tree or coconut oils).
    - (4) Maximizing use of whole grains (such as brown rice and whole wheat bread) versus processed and refined grains (such as white rice and white bread).
    - (5) Offering fruit and vegetables for snacks versus chips and candy.

- d. Collaborate with the Regional HPM, UHPC and/or the unit Health Services Technician to enhance health promotion efforts throughout the unit.
- e. Complete the CHOW assessment annually in coordination with the trained UHPC and seek additional support from the HPM as needed.

### CHAPTER 2. DISEASE PREVENTION AND HEALTH RISK REDUCTION

- A. <u>Introduction</u>. Early detection and prevention of health risk, disease, and injury is a key component of the Health Promotion Program. Periodic evidence-based screenings (Periodic Health Assessment (PHA)) administered by health care providers are required for active duty and selected reserve personnel and strongly advised for other beneficiaries. Health screenings provided by regional HPMs include stress, cholesterol, blood pressure, glucose, and body fat composition in addition to physical fitness assessments. Unit training can be provided on a wide range of topics such as weight management, performance nutrition, stress management, and tobacco cessation.
- B. <u>Health Risk Assessments (HRA)</u>. HRAs are methods that provide information on personal and organizational health risks and specific guidance on how to reduce modifiable risk factors through behavior change. An HRA can generate a personalized report for the member and a summary report for the Coast Guard unit and the organization as a whole. The Coast Guard utilizes HRAs to enhance the health of the individual Coast Guard member and the organization. The HRA evaluates several key components of health behavior:
  - 1. Nutrition and weight management;
  - 2. Physical activity;
  - 3. Risk reduction- alcohol, tobacco, and cardiovascular risk factors; and,
  - 4. Stress and sleep habits.
- C. <u>Health Risk Assessments Overview</u>. The Health Promotion Program uses four HRA methods to assess individual and organizational health behaviors.
  - 1. <u>Health Risk Appraisal</u>. This is a mandatory health behavior survey completed annually by all military personnel during their PHA. It is a snapshot assessment that provides an overview of the health behaviors of each individual. Requirements and guidance for completion of the HRA are found in References (b) and (c). The command HRA Executive Summary is a report that indicates organizational health risks and shall be used to develop unit-level health promotion plans to reduce modifiable risk factors and improve overall health and readiness.
  - 2. <u>Personnel Wellness Profile (PWP)</u>. This is a comprehensive individual report on health habits and includes recommendations for improvement. A unit summary can also be produced. All Coast Guard personnel (active duty, reserve, and civilian) are eligible to complete the PWP.
    - a. Overview. The PWP is a health behavior assessment tool that identifies health risks, makes recommendations for lifestyle improvement, and tracks health status changes over time. Participants complete a questionnaire that analyzes a combination of three types of data: self-reported data using the lifestyle questionnaire, clinical data (e.g., blood pressure, resting heart rate, cholesterol, height, and weight) and physical fitness data (e.g., body composition, muscle strength and endurance, cardiorespiratory level, and flexibility). Confidential individual reports are available online to each participant. Unit reports containing non-identifiable aggregate data are available to commands upon request and can be used to establish unit health promotion priority

- initiatives. The PWP currently used by the Coast Guard is provided by Wellsource, Inc. The PWP is completed by the individual online or administered by the regional HPM. Non-identifiable aggregate data is also used to determine Health Promotion Program priorities and to track organizational behavior change over time.
- b. <u>Discussion</u>. The Coast Guard utilizes the PWP to enhance the health of both the individual Coast Guard member and the organization as a whole.
  - (1) Individual Benefits. The PWP provides each member who completes a questionnaire with a personalized report on their health habits with recommendations for improvement. This report can also be used to assist members in designing their own personal health and fitness plans.
  - (2) Organizational Benefits. The data collected from members provides a database for measuring the overall health of the Coast Guard (e.g., body weight, blood pressure, cholesterol, dietary habits, tobacco use, tobacco cessation, etc.). This enables an evidence-driven focus for health promotion program efforts and a measure of the effectiveness of existing programs. The data can be used to identify and track common risk factors within a unit, a specific portion of the Coast Guard population (e.g., rank, rate, age, gender, etc.), and/or the organization as a whole. Additionally, the PWP is a needs assessment that assesses individual and community needs for health education activities. Data from the PWP determines the nature and emphasis of health education program planning.
- c. <u>PWP Requirements</u>. The following outlines the requirements, guidelines, and restrictions of the PWP.
  - (1) <u>Accession Points</u>. All recruits and officer candidates shall complete the PWP at the time of accession into the Coast Guard.
  - (2) <u>Leadership Schools</u>. All members attending the Chief Petty Officer Academy, Chief Warrant Officer Professional Development, and Boat Forces Command Cadre Schools shall complete a PWP.
  - (3) <u>Coast Guard Weight Standards Probation</u>. In accordance with Chapter 5 of this manual all members placed on weight probation shall complete a PWP.
  - (4) <u>Regional HPM</u>. Shall act as the point of contact for information, guidance, resources, and implementation.
  - (5) <u>Confidentiality</u>. All information collected by the PWP is personally identifiable information (PII). The data collected from the PWP will be used in the following limited applications:
    - (a) Preparation of individually sealed reports for participants.
    - (b) Development of anonymous group reports for organizational needs.
    - (c) Research application to track and measure health promotion program effectiveness.
    - (d) Improve health care delivery and job site safety.

- (e) The information is not used for any other administrative or disciplinary purposes. The collected information is held in a secure, limited access database at Coast Guard Headquarters under the direct control of Commandant (CG-1111).
- (6) <u>Mandated Reporting: Confidentiality Exception</u>. The exception to the confidentiality of the PWP is when a participant answers positively to the question of taking one's own life. In these rare cases, the HPM will immediately refer the member to a Coast Guard Medical Officer/Clinic, member's civilian Primary Care Manager, or nearest emergency department.
- (7) <u>Medical Screenings</u>. The PWP is not considered a substitute for a complete medical screening and does not substitute for or supersede any existing organizational policies for medical screenings, including the annual PHA.
- (8) <u>PWP Administration</u>. Appendix B provides guidelines for administrating the PWP, including protocols for conducting physical fitness and health screenings.
  - (a) Supplies. The PWP is normally completed online. However, limitations at some accession points and training centers may require paper administration. In these situations, materials and supplies will be available from the regional HPM.
  - (b) Report Processing and Distribution. UHPCs may have unit personnel complete the PWP online or administer the paper version of the PWP and forward the questionnaires to the regional HPM for processing and report generation.
  - (c) No other Coast Guard entities are authorized to purchase this or similar assessment products for local use. Commandant (CG-1111) will authorize expanded distribution of the PWP materials on an as needed basis.
- (9) <u>Organizational Data</u>. Shall be collected annually by Commandant (CG-1111).
- (10) <u>UHPC Responsibilities</u>. When a regional HPM cannot be present to return individual reports and discuss results, sealed feedback booklets will be returned to the member's UHPC for distribution and discussion of the results. UHPCs may schedule group or individual sessions for review of the feedback material and conduct question and answer sessions. Videos to assist in facilitating these meetings are available from the regional HPM.
- 3. <u>Stress Profiler</u>. The Stress Profiler is a personal assessment that identifies risk factors, signals, and symptoms of stress overload.
  - a. All members may request a Stress Profiler and commands may arrange for all-hands training using the Stress Profiler.

- b. The regional HPM shall act as the POC for Stress Profiler materials, guidance, implementation and unit training.
- 4. <u>Survey of Health Related Behaviors (HRB)</u>. This comprehensive survey is administered triennially as part of a joint study with the DoD. The research-based findings of the HRB are used to establish and modify health promotion program policy, set program goals and objectives, and assist Commandant (CG-11) to evaluate the effectiveness of health, safety, and work-life services.

## D. <u>Duties and Responsibilities</u>.

## 1. Health Promotion Division (CG-1111) shall:

- a. Provide funding and resources to support HRA implementation.
- b. Annually collect organizational HRA data and analyze to establish program goals, identify health behavior trends in the workforce, and evaluate program effectiveness.
- c. Ensure that required notices of Privacy Act System of Records are current.
- d. Review records schedules (Section II of Information Lifecycle Management Manual COMDTINST M5212.12 (series)) to ensure that the rules for disposition of health promotion records are identified and applied properly according to their Single Subject Identification Code (SSIC) number.

## 2. HSWL SC shall:

- a. Assist Commandant (CG-1111) to collect and analyze data and determine direction of future health promotion programs.
- b. Ensure that all HPMs are adhering to standardized methods for collecting, handling, storing, and protecting HRA records and data.

## 3. Commanding Officers and Officers-in-Charge shall:

- Contact the regional HPM at least annually to receive and review the HRA Executive Officer Report in order to:
  - (1) Establish unit wellness goals and objectives that support overall unit mission readiness:
  - (2) Plan, implement, and evaluate appropriate wellness interventions that ensure unit health and well-being.
- b. When directed and as operations permit, provide opportunity for selected members to participate in the triennial survey of HRB.
- c. Ensure all members on weight probation complete a PWP.

## 4. Regional Health Promotion Managers (HPM) shall:

- a. Educate commands and UHPCs in their AOR about requirement for personnel on weight probation to complete a PWP.
- b. Provide HRA data from the AOR to Commandant (CG-1111) as requested.

- c. Adhere to Commandant (CG-1111) policy on storage and other physical security protections of PWP data and reports.
- d. Provide an executive summary to each command when greater than 50% of the unit completes a PWP.
- e. Ensure that UHPCs are provided updated information and training in order to accurately instruct members completing a PWP.
- f. Provide regional health screening opportunities quarterly and as requested by commands, supervisors, and individual users for any of the following: cholesterol, body composition, blood pressure, physical fitness, and PWP.
- g. Notify the HSWL RP (clinic) of upcoming health promotion events in anticipation of potential referrals and for coordination of health information messaging and/or shared participation (as applicable).

## 5. <u>Unit Health Promotion Coordinators (UHPC) shall:</u>

- a. Ensure all members on weight probation and all military personnel, civilian employees, and their family members wanting to complete a PWP are provided all necessary guidance, information, and materials to accurately complete the PWP.
- Refer members on weight probation for guidance to a Coast Guard approved provider for services (e.g., a Coast Guard Primary Care Manager, CG SUPRT, and/or HPM)
- c. Ensure PWP accessibility (electronic or hardcopy) to all members and beneficiaries of the command. Coordinate with the HPM for assistance with access as necessary.
- d. Assist with implementation of the PWP and HRA by the HPM, at the unit level. Assistance may include but is not limited to coordinating screenings, administering fitness assessments, and ensuring access to the PWP review.

### 6. Active Duty Members shall:

- a. Complete the PWP in accordance with the guidance provided by the UHPC and/or regional HPM when placed on weight probation and/or as directed by the commanding officer or officer-in-charge.
- Request information and materials from the UHPC when voluntarily completing the PWP.
- c. Consult with the UHPC and/or the regional HPM upon completion of the PWP.

## **CHAPTER 3. NICOTINE USE POLICY**

- A. <u>Introduction</u>. This chapter sets policies and procedures to control tobacco/nicotine use on all Coast Guard installations and bases, facilities, vehicles, ships, aircraft, and equipment. These procedures apply to all organizational elements, active duty, reservists, civilian employees, as well as all visitors, contractors and their personnel, and personnel of other agencies that operate within or visit Coast Guard facilities.
- B. <u>Definition</u>. For purposes of this policy, the terms "Tobacco Use" and "Tobacco Products" mean tobacco and nicotine products, including electronic or e-cigarettes, smoking (e.g., cigarette, cigar, pipe), smokeless tobacco products (e.g., spit, lug, leaf, snuff, dip, etc.) and all other nicotine delivery systems and products as defined by Commandant (CG-1111) and or the U. S. Centers for Disease Control and Prevention. Nicotine Replacement Therapy (NRT) products containing nicotine and approved for use by the Food and Drug Administration (FDA) are not considered "Tobacco Products."
- C. <u>Discussion</u>. Nicotine is a highly addictive psycho-active substance. Persons dependent on nicotine find it difficult to quit and often require multiple attempts using multiple intervention modalities to overcome the addiction. As with other substance abuse, nicotine use generates physiological change and causes significant health risk conditions. To this end, tobacco cessation is not simply a "will power" decision, but rather requires appropriate medical and health behavior interventions. With this understanding in mind, the Coast Guard has implemented a variety of programs to help individuals remain tobacco-free.
- D. <u>Policy</u>. It is Coast Guard policy to discourage the use of all forms of tobacco products and to protect people from exposure to environmental tobacco smoke (ETS), unsanitary conditions created by the use of spit tobacco, and the potential addiction to nicotine products. The use of any tobacco product in public detracts from a sharp military appearance and is discouraged. Where conflicts arise between the rights of non-nicotine users and nicotine users, the rights of the non-user shall prevail.

### 1. Workplace.

- a. Use of tobacco products is prohibited by law for all members under the age of eighteen, except in Alaska where the legal age for purchase and use of tobacco products is nineteen.
- b. It is the intent of the Commandant to create and maintain a nicotine-free environment throughout the entire Coast Guard workplace. To this end, "tobacco use" is prohibited in the workplace in order to protect the health of all persons, including nicotine users, from contact with tobacco or nicotine products. For purposes of this policy, the term "workplace" includes any area inside a building or facility, over which the Coast Guard has custody and control, where work is performed by military personnel, civilian employees, or personnel under contract to the Coast Guard.
- c. The use of "tobacco products" is permitted only in designated areas. Tobacco use is prohibited at all times in all non-designated tobacco use areas on all Coast Guard facilities, bases, and installations. To this end, tobacco use is prohibited in all outdoor spaces not designated as a tobacco use area.
- d. Where permitted, tobacco spit shall be held in containers with sealing lids to prevent odor and accidental spills. Tobacco spit and other tobacco product residue shall be

- disposed of in a sanitary manner which prevents public exposure.
- e. The use of all tobacco products is prohibited in all Coast Guard government vehicles (cars, trucks, buses, vans) by all personnel, military, civilian or auxiliary.
- f. The use of all tobacco/nicotine use is prohibited in all Coast Guard aircraft or any other aircraft contracted for use in Coast Guard operational/training missions.
- g. A section of the weather deck on large afloat units may be designated as a tobacco use area (smoking and smokeless) when it is at least 50 feet from an entrance.
- h. Tobacco/nicotine use shall be used only during regularly scheduled breaks available to all personnel, which includes breaks during formal training. Additional breaks for members to use tobacco will not be permitted.
- i. The use of all tobacco/nicotine is prohibited by recruits at Training Center Cape May and officer candidates at Officer Candidate School.
- j. The cadets at the Coast Guard Academy will follow the policies set in the Cadet Regulations.
- k. Designated tobacco/nicotine areas will be away from entrances and exits and will not be located in areas commonly used by non-tobacco users. Designated areas must be a sufficient distance away, at least 50 feet, so as not to allow smoke to be drawn into the indoor facility through door openings, windows and air intake units/vents.
- 1. The use of tobacco/nicotine is prohibited on small boats. The risk of ETS and hazardous material interactions is higher in these environments and every precaution should be taken to eliminate these risks.
- m. Tobacco/nicotine products shall not be used while aboard or operating any Coast Guard machinery, equipment, craft, or vehicle.

## 2. Lodging, Dormitories, and Housing.

- a. Tobacco use is not allowed in Coast Guard controlled individual assigned family quarters.
- b. Tobacco use is not allowed in Coast Guard controlled bachelor living quarters.
- c. Tobacco use is prohibited in all common spaces of family housing units and Coast Guard controlled bachelor living quarters. Common space is defined as any space within a building that is common to occupants and visitors. These areas include, but are not limited to, corridors, laundry rooms, lounges, stairways, elevators, lobbies, storage areas, and restrooms.
- d. If smoke or odor from tobacco products from a designated tobacco use area (smoking and smokeless) seeps into common areas, the rights of the non-user (including children) will prevail.
- 3. Recreational and Coast Guard Exchange Facilities. Workers and patrons are entitled to the same protection and consideration that is afforded to our personnel in the workplace. Accordingly, smoking in Coast Guard exchanges or MWR facilities or at MWR activities is prohibited unless a tobacco use area is designated.

## 4. Sales of Tobacco Products.

- a. The sale of tobacco products from vending machines is prohibited.
- b. The sale of tobacco products to anyone under the age of 18 years (19 years in Alaska) is prohibited.
- c. The distribution and advertisement of tobacco products in Coast Guard facilities, publications, and official correspondence is prohibited.
- E. <u>Tobacco Cessation Resources</u>. In addition to Coast Guard resources, tobacco cessation programs are available through local hospitals, clinics, and national health websites. Many states offer tobacco quit-lines for telephonic support. Members are encouraged to use the program or service that best helps them achieve freedom from nicotine addiction. Regional HPMs can be contacted for information on tobacco cessation programs and resources.

# F. Nicotine Replacement Therapy (NRT) and Tobacco Cessation Aids.

- 1. Current TRICARE policy considers NRTs elective in nature, as they are not medically necessary. Under this guideline, prescriptions for smoking cessation aids are not covered as a TRICARE benefit for any beneficiary category, including active duty members.
- 2. Members who are served by/enrolled into a DoD or Coast Guard military treatment facility (MTF) may receive prescription smoking cessation aids as the host military facility allows. These products are typically obtained through traditional financial elements which fund pharmaceutical products.
- 3. Each DoD or Coast Guard MTF establishes its own requirements for obtaining tobacco cessation aids (e.g., participation in a smoking cessation program).

## G. Duties and Responsibilities.

# 1. Health Promotion Division, Commandant (CG-1111), shall:

- a. Ensure Coast Guard wide tobacco awareness, education and behavior change programs reflect the current state of tobacco cessation science to meet the needs of all categories of beneficiaries.
- b. Establish program evaluation measures for tobacco cessation efforts throughout the Coast Guard.
- c. Maintain a website that lists latest changes to the tobacco cessation policy and resources.
- d. Generate ALCOASTS and other Coast Guard wide marketing materials to support tobacco cessation efforts.
- e. Establish goals and develop strategies for reducing the rate of Coast Guard tobacco use based on Coast Guard Health Related Behavior Survey data and Healthy People 2020 goals.

## 2. HSWL SC shall:

- a. Support Commandant (CG-1111), Coast Guard medical, pharmacy, and dental officers, and regional HPMs in upholding these policy guidelines.
- b. Provide funding to regional HPMs for tobacco awareness and cessation programming.

c. Support and encourage continuing education training in tobacco awareness and cessation for regional HPMs and medical personnel.

## 3. Commanding Officers and Officers-in-Charge shall:

- Administrative Support.
  - (1) May designate appropriate sites for the use of tobacco products (smoking and smokeless) and ensure areas are clearly marked. These areas shall be at least 50 feet from the vicinity of building entrances and exits or areas in clear public view. It is up to the discretion of each commanding officer and officer-in-charge if and where these sites may exist.
  - (2) Shall post notices at the entrance of all facilities that state smoking is not allowed except in designated areas.
  - (3) Shall enforce compliance with this policy and ensure each member of the command is familiar with this instruction.

## b. Program Planning.

- (1) Actively promote tobacco avoidance and cessation by use of a variety of educational media and scheduling at least one annual all-hands tobacco awareness activity.
- (2) Ensure tobacco cessation programs address the use of smokeless tobacco products and other nicotine delivery systems and ensure that smoking restrictions do not promote the use of smokeless tobacco products.
- (3) Encourage members to use available tobacco cessation resources and when operations permit, allow members and civilian employee's time during the work day to engage in educational, prevention, and cessation activities via classroom, computer, and telephone.
- (4) Prohibit smokers from engaging in tobacco use during unscheduled break times that are not available to all crewmembers.
- (5) Hold tobacco users accountable for appropriately discarding smoking materials and/or spit tobacco.

## 4. Coast Guard Clinic Staff and Health Services Technicians shall:

- a. Administrative Support.
  - (1) Screen patients to assess the appropriateness of nicotine replacement therapy, contraindications for use, and if policy permits, prescribe therapy when needed for tobacco cessation.
  - (2) Conduct brief tobacco use survey as part of annual PHA screenings.
  - (3) Refer patients to the regional HPM for tobacco cessation education and resources.

### b. Program Planning and Support.

(1) Maintain a supply of educational materials on the risks of tobacco use and provide support materials for cessation programs available to help members end

- tobacco addiction.
- (2) Require medical and dental providers to inquire about the member's tobacco use history during medical and dental screenings.
- (3) Implement standards of care in accordance with the U.S. Preventive Services Task Force (USPSTF) on tobacco use.
- (4) Provide information on tobacco use cessation programs to eligible military personnel and beneficiaries, attached commands, and independent units within AOR.
- (5) Ensure all tobacco users receive, if requested, assistance and/or referral for cessation.
- (6) In conjunction with regional HPMs, promote organizational and local tobacco cessation resources that incorporate cognitive and behavioral change strategies and the use of nicotine replacement therapy when appropriate.
- (7) Be strongly encouraged to obtain training and education on state of the behavioral science for tobacco addiction and cessation.

## 5. Regional Health Promotion Managers shall:

- a. Maintain and disseminate supplies or resources of tobacco educational materials and a resource list for services within the AOR that can assist members with their tobacco cessation efforts.
- b. Recommend tobacco prevention and control awareness training to all AOR units with 20% or higher nicotine use as measured by the PWP or HRA.
- c. Provide Coast Guard medical providers in AOR with current tobacco cessation resources.
- d. Support national tobacco cessation campaigns and initiatives identified by Commandant (CG-1111) by implementing an annual marketing campaign that reaches 100% of units within their AOR.
- e. Train UHPCs on the Coast Guard tobacco policies and how to conduct brief tobacco cessation readiness to quit screenings.

## 6. Unit Health Promotion Coordinators shall:

- a. Advise the command of deficiencies in and non-compliance with policy.
- b. Brief all members reporting to the unit on the tobacco use policy and refer members who wish to quit to HPM.
- c. Conduct at least one annual unit tobacco awareness activity under the guidance of the regional HPM.
- d. Coordinate unit/group tobacco cessation activities under the guidance of the regional HPM
- e. Provide tobacco cessation resources (e.g., UCANQuit2, TRICARE Quit line, CG SUPRT, and other local resources) to unit members and their family members wanting to become tobacco free.

# **CHAPTER 4. PHYSICAL FITNESS**

### A. Introduction.

- Coast Guard personnel have a duty to be operationally ready to respond to situations affecting public safety and/or national security. A physically fit member has a greater chance of successfully meeting physical requirements and higher stress levels in operational and emergency situations. Command and individual responsibilities with respect to physical fitness readiness are covered in this chapter. Certain operational duty assignments (e.g., Maritime Safety and Security Teams and boat crews) have specific physical fitness requirements outlined in their respective program instructions.
- 2. To support the physical readiness of Coast Guard military personnel, all military personnel are required to develop Personal Fitness Plans, Form CG-6049. The completed Personal Fitness Plan shall be submitted to their supervisors in the months of April and October; the latest copy of this form shall be kept on file with the UHPC. In addition, commanding officers/officer-in-charge shall provide all active duty personnel the opportunity to participate in fitness enhancing activities, as outlined in Paragraph C.4 of this chapter.
- 3. Physical activity also has beneficial effects for general health and wellness. Engaging in regular physical activity is an effective way to reduce stress, manage weight, decrease risk of disease and injury, improve physical appearance, and improve morale. Years of research categorically supports the premise that exercise leads to improved physical function, decreased risk of chronic disease, and decreased disability.
- 4. Guidelines for general health are set forth by the U.S. Centers for Disease Control, U.S. Department of Health and Human Services, and the American College of Sports Medicine. In the 2008 Physical Activity Guidelines for Americans, the U.S. Centers for Disease Control. recommends:
  - a. 2 hours and 30 minutes (150 minutes) of moderate-intensity aerobic activity (e.g., brisk walking) every week; and,
  - b. Muscle-strengthening activities on 2 or more days a week that work all major muscle groups (e.g., legs, hips, back, abdomen, chest, shoulders, and arms).
- B. <u>Policy</u>. In order to have an operationally physically ready workforce, and to promote general health and wellness, the following policy applies.
  - 1. All active duty members shall:
    - a. Engage in fitness activity as outlined in their Personal Fitness Plan, Form CG-6049, a minimum of 180 minutes per week. It is strongly recommended that the fitness plan include 150 minutes of cardiorespiratory activity and 30 minutes of strength training. These requirements are based on the guidelines summarized in Paragraph 4, above.
    - b. Exercise so that the physical activity produces a training effect, as measured by a medium to vigorous level of intensity. (Refer to Appendix C for examples of exercise intensity.)

- c. Because the effects of physical activity are cumulative, exercise sessions may vary in length, with a minimum of 10 minutes, in order to be beneficial. In general, physical activity sessions should be spread out over at least three days per week.
- d. <u>Duty Status Form SF 600</u> from their primary care manager to their current supervisor if they are unable to participate in fitness enhancing activity due to medical conditions. The Duty Status Form can be found at <a href="http://www.uscg.mil/worklife/WeightGuide.asp">http://www.uscg.mil/worklife/WeightGuide.asp</a>.

### 2. For reservists:

- a. Reservists on active duty for 31 days or more continuously shall follow the above policy for active duty members in Paragraph 4.B.1. above.
- b. All Ready Reservists are recommended to follow the guidance in Paragraph 4.B.1. above.

# C. <u>Duties and Responsibilities</u>.

1. Commandant (CG-11). The HSWL Directorate shall establish the Coast Guard Fitness Advisory Committee herein referred to as the FAC. This inter-directorate team is charged with making assessments and recommendations on the physical fitness requirements for the Coast Guard work force, ensuring scientifically best practices and operationally sound policies are established that support the physical fitness demands of the missions and readiness of the Coast Guard. The function of the FAC is to provide guidance to Coast Guard leadership with the most current scientific information on applicable physical fitness and exercise guidelines for Coast Guard personnel as related to mission performance and physical readiness.

### 2. Health Promotion Division, Commandant (CG-1111), shall:

- a. Provide subject matter expertise for physical fitness policy across all programs both within and outside of the HSWL Directorate, ensuring the scientific and operational validity of program content and structure.
- b. Establish physical fitness curriculum and provide instruction and course materials for the UHPC Course.
- c. Design educational, promotional and behavior change initiatives for improving the physical fitness of Coast Guard members and beneficiaries.
- d. Review physical fitness and health promotion curricula for all Coast Guard Leadership Development Center schools and programs, to include:
  - (1) Apprentice Leadership Program;
  - (2) Chief Warrant Officer Academy;
  - (3) Chief Petty Officer Academy;
  - (4) Boat Forces Command Cadre School;
  - (5) Prospective Command Afloat School;
  - (6) Officer Candidate School; and,

(7) Senior Leadership Schools.

# 3. HSWL SC shall:

- a. Assist Commandant (CG-1111) in developing physical fitness training curricula to be implemented by regional HPMs and UHPCs.
- b. Assist Commandant (CG-1111) with evaluating of physical fitness initiatives.
- 4. <u>Commanding Officers and Officers-in-Charge</u>. As an integral factor in mission readiness and an essential component of total wellness, physical fitness activity shall be required at all levels of the command. To support this objective, commanding officers and officers-in-charge shall:
  - a. Develop a detailed unit fitness policy (Appendix F) that addresses mandatory participation by military members (active duty and reservists), and voluntary participation by civilian employees in physical fitness activities during the workday. The regional HPM can provide guidance in the development of local policy. The plan will be reviewed by the Regional HPM who will assist commands in tailoring it to ensure safe and beneficial results.
  - b. Ensure members complete the Personal Fitness Plan, Form CG-6049, every April and October.
  - c. Operations permitting, allow all military members (active duty and reservists) time for exercise and physical activity a minimum of 180 minutes per week during normal working hours. Commands do not have to comply when the unit is on a tropical hours schedule or deployed; however, military members are still required to adhere to the 180 minutes per week exercise standards as outlined in Paragraph 4 B. of this Chapter. Commanding officers of training centers may waive fitness enhancing activity and PFP requirements for military students if they determine course requirements fulfill the fitness enhancing activity requirement or if fitness enhancing activity cannot be reasonably accommodated in the training schedule.
  - d. Reflect compliance with this policy in the member's personnel evaluation under health and wellness parameters.
  - e. Operations permitting, allow all civilian general schedule, wage grade, and senior executive service employees work time for voluntary participation in physical fitness activities in accordance with the following:
    - (1) Excused absences will not exceed 60 minutes, inclusive of time for showering and changing, on any given day, up to 180 minutes each week. Unused time shall not carry over to any subsequent pay period. Excused absence can be combined with authorized breaks or in conjunction with the regularly scheduled lunch period with supervisory approval. It may not, be used before an employee reports for duty or to allow for an employee's early departure. Participants must physically report to work before engaging in their fitness activity and must report back to work if the fitness activity is prior to departure at the end of the day.

- (2) Excused absence for exercise must be recorded in Web TA or other approved time and attendance systems.
- (3) Use of time for physical fitness activities by part-time employees should be pro-rated to correspond with the number of hours worked per pay period. When calculating such time, the number of hours worked bi-weekly should be divided by 80 to come up with the percentage of the maximum time allowed for part-time employees.
- (4) Subject to supervisor approval, based on office/team workload, operational tempo, or other mission priorities. Supervisors have the final authority to determine when (day and time) the employee may participate, and may modify or suspend participation without notice based on workload. Supervisors are strongly encouraged to support this program whenever possible.
- (5) Employees with a current unsatisfactory annual performance evaluation, or who are operating under a Performance Improvement Plan are prohibited from participation in the program. Further, whenever performance or conduct issues arise, the supervisor, at his/her discretion, may restrict, deny, or revoke employee participation in this program until the performance or conduct issues have been satisfactorily resolved. Failure to adhere to the program guidelines and procedures may result in disciplinary action.
- (6) An eligible employee must complete a Personal Fitness Plan, Form CG-6049, and submit it to his/her supervisor along with an electronic or written request to participate in physical activity. Employees can obtain this form at: <a href="http://www.uscg.mil/forms">http://www.uscg.mil/forms</a>.
- (7) The supervisor will review the Personal Fitness Plan, Form CG-6049, and either approve or disapprove the request. Employees and supervisors may contact their UHPC or regional HPM for guidance and/or assistance with completing, reviewing, and/or revising the Personal Fitness Plan, Form CG-6049.
- (8) The supervisor will maintain the approved request on file and provide the employee with a copy.
- (9) An eligible employee approved to participate in the program must maintain a current written or electronic log of their exercise activity. Employees can obtain a sample exercise log at: <a href="http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp">http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp</a> or from their UHPC or regional HPM. The log must be provided to the supervisor upon request.
- (10) Employees voluntarily participating in the physical fitness program may be allowed to engage in activities located outside the confines of the Coast Guard base, installation, or facility. Examples of these activities include walking, jogging, biking and working out at an offsite health facility.

### 5. Regional Health Promotion Manager shall:

- a. Provide subject matter guidance for physical fitness initiatives, ensuring the safety and scientific validity of program content and training components.
- b. Provide on-site unit fitness training when requested. This includes teaching commands and individual members how to develop and execute a safe and effective exercise program, using the Physical Health Instruction Training (PHIT) or equivalent exercise program which follows the same methodology.
- c. Provide technical support and assistance for conducting fitness assessments.
- d. Devise educational and promotional initiatives for improving the physical fitness of Coast Guard members and beneficiaries in their AOR.
- e. Conduct body fat composition screenings as requested by beneficiaries.
- f. Provide guidance to beneficiaries on developing a personal fitness plan.
- g. Train UHPCs to conduct PHIT or equivalent exercise program approved by the regional HPM which follows the same methodology.
- h. Maintain a National Academy of Sports Medicine, Certified Personal Trainer certificate (or equivalent approved by program manager). Training for this certification will be funded by Commandant (CG-1111).

### 6. <u>Unit Health Promotion Coordinator (UHPC) shall:</u>

- a. Promote physical fitness. Potential tools that can be used include: all-hands training, incentive and awards programs, on-duty workout time, unit challenges, educational e-mails and/or newsletters, and other methods as approved by the regional HPM and unit command.
- b. Schedule appropriate fitness-related activities and events for unit members and family members.
- c. Administer and review Physical Activity Readiness Questionnaire (PAR-Q) screening forms for all civilian participants in physical fitness testing (Appendix D).
- d. Conduct fitness assessments (Appendix E) as requested or required by members or the command, and monthly for all members on weight probation.
- e. Instruct PHIT and /or other exercises, as approved by the regional HPM.
- f. Collaborate with the regional HPM to assist members in development of Personal Fitness Plans, Form CG-6049, that produce a training effect or promote weight and/or body fat loss.
- g. Maintain the most current copy of their unit's Personal Fitness Plans, Form CG-6049, electronic or hard copy.
- h. Review the physical fitness activity logs at least weekly of members on weight probation; and consult the regional HPM when there is no progress within two weeks.
- i. Develop a Unit Physical Fitness Instruction in coordination with the regional HPM and using the template provided in Appendix F that promotes compliance with physical fitness requirements and mission readiness of all crewmembers. The plan

### COMDTINST M6200.1B

- must be approved by the regional HPM when it includes group physical fitness activities.
- j. Educate members on the benefits of exercise and food log documentation. Refer to the following web site for sample logs: http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp.
- k. Consult with regional HPM when assisting overweight or over body fat members in designing exercise programs that promote healthier lifestyles and loss of excess body fat.

# 7. Coast Guard Active Duty Members and Reservists shall:

- a. Complete and submit the Personal Fitness Plan, Form CG-6049, to their supervisor in the months of April and October.
- b. Obtain guidance as needed for the development of the PFP from the UHPC and/or the regional HPM.
- c. Adhere to the physical activity requirements as outlined above in Paragraphs B.1 through B.5. of this chapter.

### CHAPTER 5. NUTRITION AND WEIGHT MANAGEMENT

- A. Weight Management Overview. There are individual and organizational benefits associated with personnel maintaining healthy weight and body fat composition. While compliance with Commandant weight standards supports a positive military appearance and promotes awareness of diet and exercise, members should work to achieve healthy standards that lower risk factors and maximize mission readiness. At the same time, research has shown that even modest weight loss can have a significant positive impact on health and fitness for duty. Overweight and obesity are two of the most serious and widespread challenges to personal wellness and mission readiness. Numerous diseases and health risks are associated with being overweight and obese, particularly the increased risk of cardiovascular disease, hypertension, joint diseases, and diabetes. More directly related to mission readiness, excess body fat makes physical activity more difficult. In general, overweight personnel are less fit than others and do not have the muscular strength, endurance, and flexibility to perform essential job-related physical activities.
- B. <u>Nutrition Overview</u>. A healthy diet serves two purposes. It supports maximum performance and fitness (i.e., performance nutrition) and it protects against disease and illness (i.e., nutrition for health). Both of these elements have impact on personal and organizational effectiveness. When personnel are eating the right foods in the right amounts at the right time, performance opportunities are greatly enhanced. Low fat and cholesterol-free products are examples of foods that enhance health and reduce the risk of disease. A properly fed workforce is more mission ready and physically capable, with lower health care costs. This is accomplished by focusing on:
  - 1. Food intake for performance;
  - 2. Caloric intake for successful weight management; and,
  - 3. Policy that supports an environment for healthy food choices.

## C. Dietary Supplements Overview.

- Definition. A dietary supplement (DS) is a preparation intended to supplement the diet
  and provide nutrients, such as vitamins, minerals, fiber, fatty acids, amino acids, or
  micronutrients that may be missing or may not be consumed in sufficient quantities in a
  person's diet. DSs are consumed for many reasons, including weight loss/gain, muscle
  growth, physical performance enhancement and recovery, disease prevention, and to cure
  disease or illness.
- 2. Non-regulated. Because DSs are not classified as either a food or a pharmaceutical product, they are not regulated by the Food and Drug Administration unless a product or ingredient is proven to be harmful. Product labels must list ingredients but the efficacy of product claims, quality, and quantity of ingredients may not be accurate. Consequently, a DS product may contain ingredients which pose a health risk, are prohibited for active duty and reserve personnel, or may cause harmful side effects when used with prescribed or over the counter medications. As a preventive measure, all personnel are strongly encouraged to be informed health consumers when DS products are used, primarily considering the efficacy, health risk, legality, and Coast Guard prohibition/restrictions before using a product. Coast Guard Pharmacy Officers, primary care providers, HPMs, and Coast Guard sanctioned resources, such as the Human Performance Resource Center

website (<a href="http://humanperformanceresourcecenter.org">http://humanperformanceresourcecenter.org</a>) should be the primary source for guidance.

## D. Choosing Healthy Options for Wellness (CHOW).

CHOW is an assessment that evaluates the types of food options available at a unit or base, encourages policy that educates and supports healthy eating, and identifies environmental factors that promote healthy food choices. It utilizes a scoring system to access how well a unit is doing in promoting healthy eating and providing individuals with the opportunity to make healthy food choices. The following web site contains a link to the CHOW evaluation from <a href="http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp">http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp</a>.

### E. Policy.

- 1. Although healthy weight management is largely an issue of personal accountability, it is also the responsibility of leadership throughout the Coast Guard to support healthy weight management behaviors by activating local policy, creating work environments that support healthy behavior, and leading by example.
- 2. All Coast Guard units shall annually complete applicable sections of the CHOW assessment. The regional HPM shall provide the appropriate materials and guidance to complete this task.

## F. Duties and Responsibilities.

# 1. Health Promotion Division, Commandant (CG-1111), shall:

- a. In coordination with the Food Service Program Manager, ensure nutrition information and instructions in healthy cooking methods are included in FS school curricula.
- b. Annually collect Coast Guard-wide data to analyze the eating behavior trends of the workforce.
- c. Establish goals with outcome measurements for improving healthy eating and weight management behaviors.
- d. Work in coordination with the Office of Military Personnel, Commandant (CG-122), and the Office of Health Services, Commandant (CG-1121), to address issues and develop policies related to performance nutrition, DS use and weight management.
- e. Inform Coast Guard senior leadership about the latest trends and state of the science in nutrition, DS, weight loss science management, and policy as promulgated by DoD, CDC, the National Institutes of Health, and other government agencies and scientific institutions. Procure, develop, review, and disseminate state of the science information on healthy eating and weight management behavioral change.
- f. Develop methods to assess healthy food choice environment in the workplace, to include policy, food choices, and food services.
- g. Ensure the most current dietary guidelines are available to HSWL providers, to include HPM, HS personnel, and Medical Officers.

## 2. HSWL SC shall:

a. Assist Commandant (CG-1111) in implementing the Coast Guard nutrition and weight programs initiatives.

b. Ensure access is available to nutritional counseling for members needing these services. Treatment for diagnosed eating disorders will be handled in accordance with Chapter 5 of Reference (b).

# 3. Commanding Officers and Officers-in-Charge shall:

- a. Direct members on weight probation to contact the UHPC for information on weight management planning, techniques, and resources.
- b. Annually complete applicable sections of the CHOW assessment. Units should coordinate with the regional HPM to complete this assessment.

### 4. Medical Officers shall:

- a. Refer members on weight probation to the regional HPM for guidance in developing a successful weight management program, while continuing to follow up and address weight related health concerns, underlying causes of weight, and psychological motivators for over-eating and/or poor nutritional choices.
- b. Offer all members on weight probation a referral (up to 4 total visits) to a licensed nutritionist or registered dietitian.
- c. Promote Commandant (CG-1111) sponsored weight management initiatives and programs.
- d. Promote Coast Guard sponsored DS resources.
- e. Provide nutrition, weight management, and dietary supplement training and education to patients as requested or indicated.

## 5. Regional Health Promotion Managers (HPM) shall:

- a. Provide nutrition, weight management, and DS training and education to units as requested.
- b. Provide beneficiaries basic counseling for weight management planning and techniques, and provide appropriate recommendation to primary care manager or licensed professionals as needed.
- c. Assist as requested by unit food services personnel in planning menus and educational activities, which promote healthy, nutritional food choices.
- d. Review and disseminate appropriate weight management materials.
- e. Review and provide recommendation for CHOW assessment submitted by the unit FSO or UHPC.
- f. In coordination with the UHPC, assist members on weight probation with dietary guidance to achieve weight loss and caloric estimates for safe weight loss.
- g. Ensure members, UHPCs, and commands are informed of current information and resources to make informed health consumer choices for DS.

### 6. Unit Health Promotion Program Coordinator (UHPC) shall:

a. Provide personnel with resources and information, as approved by the HPM, on nutrition, dietary supplements, weight management, and exercise.

- b. Coordinate with the HPM and unit FSO for annual implementation of a unit CHOW assessment and follow up action plan.
- c. Ensure members on weight probation are informed that the following shall be completed within 10 days of being placed on the weight program:
  - (1) Know who the regional HPM is and their contact info;
  - (2) Complete a new Personnel Fitness Plan, CG-6049. (Consult with regional HPM to ensure exercise routine is appropriate for safe and effective weight loss.);
  - (3) Start a fitness log and submit the log weekly to the UHPC. Consult with Regional HPM if member on weight probation is not losing the required weight or is losing the weight too quickly. Average should be 1 pound per week;
  - (4) Complete a PWP.
  - (5) Complete a daily food log for at least seven days, such as the "Super Tracker" tool at <a href="www.MyPlate.gov">www.MyPlate.gov</a>; or alternate log as approved by the regional HPM. The log shall be submitted to the UHPC and forwarded to the HPM if request and;
  - (6) Complete a monthly physical fitness evaluation.
- d. Conduct monthly physical fitness evaluations for members on weight probation in accordance with Appendix E. Do not conduct the test until the member has been cleared by medical. Physical fitness evaluation shall consist of at least:
  - (1) 1.5 mile run or 1 mile walk test max VO2;
  - (2) Push up test; and;
  - (3) Curl up test.
- e. Provide all members on weight probation and others requesting information on weight management with Reference (d).
- f. Refer members on weight probation to the regional Transition Relocation Manager (TRM) for a review of benefits and transition resources.
- g. Provide a monthly report to the HPM on the status of members on weight probation. Use the <u>MAW Participant Tracking Form</u> or the electronic case management information system, as directed by Commandant (CG-1111).

### 7. Members on Weight Probation shall:

- a. Upon being placed on weight probation, contact the UHPC within 72 hours to discuss plan of action and complete the following within 10 days:
  - (1) Personal Fitness Plan, Form CG-6049;
  - (2) Start a workout log, which shall be turned into the UHPC (may be e-mailed) at least weekly; (sample workout log may be found at the following site <a href="http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp">http://www.uscg.mil/worklife/health\_promotion\_coordinator.asp</a>)

- (3) Log daily food intake for at least seven days, using the "Super Tracker" tool at <a href="www.MyPlate.gov">www.MyPlate.gov</a>; or alternate tool that is approved by the regional HPM and submit the log to the UHPC; and,
- (4) On-line PWP (when registering select AOR followed by MAW)
- b. After being cleared by medical, perform a physical assessment (see 6.d. above). Perform the assessment every month until off of probation. (SELRES must perform assessment during monthly drill)

# 8. Unit Food Service Specialists shall:

- a. Plan menus to ensure all members have daily access to nutritionally sound food choices.
- b. Collaborate with the regional HPM to increase knowledge and develop healthy food preparation and planning techniques.
- c. Coordinate with regional HPM and UHPC to complete an annual CHOW assessment.

# COMDTINST M6200.1B

### **CHAPTER 6. STRESS MANAGEMENT**

- A. <u>Introduction</u>. Operational readiness and safety are closely tied to the ability of personnel to endure the physical, mental, and environmental demands of work, social, and family systems. Effective stress management promotes operational risk reduction by enhancing personal readiness. The purpose of effective and healthy stress management programs for the Coast Guard is to identify and control risk factors that can reduce human endurance and thereby compromise safety and operational readiness. Appropriate referral to an individual trained in stress management includes but not limited to, Medical Officer, EAP, and Chaplain.
- B. <u>Policy</u>. Assessing and responding to the impact of stress on crewmembers and unit readiness is the responsibility of leaders at all levels.
  - 1. When managed effectively, stress can help individuals reach personal and job performance goals. However, when ineffective or inappropriate coping responses are used, the results can be harmful and unhealthy to the individual, the family, and operational readiness.
  - 2. Coast Guard leadership shall assist members in managing stress in the following ways:
    - a. Be familiar with Operational Stress Control (OSC) as modeled by the following Stress Continuum:

READY (Green Zone)	REACTING (Yellow Zone)	INJURED (Orange Zone)	[LL (Red Zone)			
Definition  - Adaptive coping and mastery  - Optimal functioning  - Wellness  Features  - Well trained and prepared  - Fit and focused  - In control  - Optimally effective  - Behaving ethically  - Having fun	Definition  - Mild and transient distress or loss of optimal functioning - Always goes away - Low risk for illness  Features - Irritable, angry - Anxious or depressed - Physically too pumped up or tired - Loss of complete self control - Poor focus - Poor sleep - Not having fun	Definition  - More severe and persistent distress or loss of function  - Leaves a "scar"  - Higher risk for illness  Causes  - Life threat  - Loss  - Inner conflict  - Wear and tear  Features  - Panic or rage  - Loss of control of body or mind  - Can't sleep  - Recurrent nightmares or bad memories  - Persistent shame, guilt, or blame  - Loss of moral values and beliefs	Definition - Persistent and disabling distress or loss of function - Clinical mental disorders - Unheaded stress injuries  Types - PTSD - Depression - Anxiety - Substance abuse  Features - Symptoms and disability persist over many weeks - Symptoms and disability get worse over time			
Unit Leader Responsibility  Caregiver Responsibility  Caregiver Responsibility						

Table 6-1: Operational Stress Control Continuum

b. Know personnel well enough to recognize when members are "not in the Green Zone."

- c. Take appropriate actions when personnel are found to be "Reacting," "Injured," or "Ill" (Yellow, Orange, or Red Zones).
- d. Be aware of and understand the four sources of stress injuries: loss, trauma, inner conflict, and wear and tear.
- e. Recognize that many personnel will experience stress injuries sooner or later and that early command response is essential to keep personnel from becoming further injured or ill.

# C. <u>Duties and Responsibilities.</u>

- 1. Health Promotion Division, Commandant (CG-1111) shall:
  - a. In coordination with Commandant (CG-1112), provide professional oversight for stress management initiatives.
  - b. Provide funding for stress management supplies and education materials
  - c. In coordination with Commandant (CG-1112), review, procure, and disseminate appropriate stress management awareness, education, and behavior change materials.
- 2. The Employee Assistance Program Manager (EAPM) within Commandant (CG-1112) shall: work in collaboration with Commandant (CG-1111) staff to design and implement effective stress management programs.
- 3. <u>HSWL SC shall</u>: provide stress management-related materials and funding to regional HPMs.
- 4. <u>Commanding Officers and Officers-in-Charge shall:</u>
  - a. Be aware of the unit's stress climate and the signals of stress that members may display. Refer to <a href="http://www.uscg.mil/worklife/stress\_management.asp">http://www.uscg.mil/worklife/stress\_management.asp</a> to access the Navy and Marine Corps Combat and Operational Stress Control for tools to evaluate stress.
  - b. Ensure crews and individuals receive appropriate and timely assistance to avoid stress injury or illness.
  - c. Proactively create stress awareness during operational transitions and high stress situations such as:
    - (1) PCS moves;
    - (2) Underway deployments;
    - (3) Disaster relief missions; and,
    - (4) High evolution operational tempos.
  - d. Contact the regional HPM to provide training and education to crew members as deemed necessary.
  - e. Promote and support individual members on implementing proper stress management techniques at work.
  - f. Make use of Critical Incident Stress Management, COMDTINST 1754.3 (series), services as needed.

- g. Conduct Crew Endurance Risk Assessments in accordance with Crew Endurance Management, COMDTINST 3500.2 (series) (See Reference (e)). Commandant (CG-1133) can address technical questions, support, and resources regarding this reference.
- h. Conduct safety stand-downs to include crew endurance and stress management training.

## 5. Regional Health Promotion Manager shall:

- a. Provide guidance for stress management education and practices.
- b. Inform the command when the unit HRA indicates 50% or higher stress level among unit personnel and recommend resources and an intervention plan for stress reduction.
- c. Provide training and materials to units on stress management as requested. This training may include the Stress Profiler, PWP, and stress reduction techniques.

## 6. Unit Health Promotion Program Coordinator (UHPC) shall:

- a. Promote healthy stress management. Potential tools that can be used are:
  - (1) All hands training;
  - (2) Training guides and materials provided by the regional HPM;
  - (3) Early warning and risk detection tools; and,
  - (4) Self-assessment tools such as the Stress Profiler.
- b. Refer members who request information and education on stress management to an appropriate Work-Life component, including regional Employee Assistance Program Coordinators (EAPC) and HPMs.
- c. Provide stress assessment tools and stress management information/education to unit personnel as requested or as directed by the regional HPM during operational transitions and high stress times.
- d. Coordinate stress management assessment activities and/or training with the regional HPM.

# COMDTINST M6200.1B

## CHAPTER 7. SUBSTANCE ABUSE PREVENTION (SAP) PROGRAM

A. <u>Introduction</u>. A positive command climate that promotes responsible alcohol use and provides alcohol-free alternatives for off-duty recreation is essential to minimizing personnel and operational risks. Commands should be mindful that, even with the best prevention strategies and programs, there are times that a Coast Guard member requires assistance in seeking treatment and educational resources. This program outlines the steps necessary for a command to appropriately address these situations and provide members with the treatment and/or education they are entitled regardless of any personnel action associated with alcohol misuse or abuse. Substance abuse is a spectrum disorder requiring education and treatment that coincides with where the member is with regard to this spectrum (e.g. abstinence, use, misuse, abuse, dependency).

The strategy of the Coast Guard SAP Program is to reduce the negative consequences related to substance use. This policy encourages self-control, personal responsibility, and supports a zero tolerance illicit drug policy. The Coast Guard uses the National Institute of Alcohol Abuse and Alcoholism (NIAAA) guidelines for responsible drinking. Detailed information on these guidelines can be found at <a href="http://rethinkingdrinking.niaaa.nih.gov/">http://rethinkingdrinking.niaaa.nih.gov/</a>. Coast Guard members are encouraged to either abstain from, or engage in, responsible alcohol consumption.

Drug abuse/dependency, which includes alcohol, is a brain disease. Addiction in all of its forms affects not only the afflicted member, but also society, family, friends, co-workers, and commands.

B. <u>Program Overview</u>. The goals of this program are to provide guidance; inform commands about substance abuse policy; provide commands and individuals with substance abuse prevention training; implement strategies to prevent substance misuse and abuse; consult with commands on substance related issues when requested, and finally educate commands about substance use and abuse.

## This program intends to:

- 1. <u>Raise Awareness of Substance Abuse Issues</u>. Help each member and command, understand how to approach and deal with substance misuse, abuse and chemical dependence.
- 2. <u>Encourage</u>, <u>Teach</u>, <u>and Support Responsible Alcohol Use</u>. Key behaviors for responsible alcohol use as defined by Commandant (CG-1111) include:
  - a. No alcohol use before the age of 21, except where "Law of the Land" policy allows.
  - b. Always drive sober.
  - c. Consuming no more than one "standard alcoholic beverage" per hour
  - d. Developing a "recognize, prevent, respond" strategy such as a designated driver program, or a "2-and-through" agreement between command and members (e.g., 2-and-through denotes limiting alcohol consumption to two drinks).
  - e. Consuming no more than three standard drinks per day and not exceeding 14 per week for males; one drink per day for females not to exceed five per week.
  - f. Checking with a health care provider to ensure it is safe to consume alcohol with prescribed medication.

- g. Avoiding any activity requiring strict focus and attention or coordination and balance (e.g., cleaning a weapon, climbing a ladder).
- 3. <u>Provide Periodic Prevention Training</u>. Provide members, cadets, recruits, and commands with prevention training, early problem identification skills, and resources for screening and treatment.
- 4. <u>Support Commands</u>. Assist commands by providing the tools and procedures to deal with irresponsible use of alcohol.
- 5. <u>Outline Zero Tolerance for Substance Abuse</u>. Support zero tolerance for use of illegal drugs and misuse of prescription medication as mandated by the Coast Guard drug policy.
- 6. <u>Support Mission Readiness</u>. Ensure that members are aware of how substance abuse interferes with Coast Guard mission readiness and a safe work environment.

# C. General Policy.

- 1. <u>Unit Plan</u>. Commanding officers shall institute a substance abuse prevention plan. Guidelines for development of this plan are provided in depth to the Command Drug and Alcohol Representative (CDAR) via the CDAR course.
- 2. <u>Alignment with other Policy</u>. The policy outlined in this chapter directly correlates with the drug and alcohol related policies reflected in Reference (f). Commands shall use Reference (f) and this policy to appropriately document and handle alcohol-related issues at their unit.
- D. Applicability. Policy in this chapter applies to all Coast Guard active duty personnel, including reserve members on active duty. In accordance with Section 703 of the 2012 NDAA, reservists on an Inactive Duty Training (IDT) and Active Duty Training (ADT) drilling status are entitled to behavioral health support screenings, such as assistance with substance abuse. Reservists no longer in an IDT or ADT drilling status are responsible for any follow up care required as a result of behavioral health support screenings unless a Line of Duty investigation determined that the issue was incurred or aggravated in the Line of Duty. See COMDTINST M5830.1 (series), Administrative Investigation Manual, for more guidance.

## E. Duties and Responsibilities.

- 1. <u>Commandant (CG-1111)</u>. Responsible for the medical training, education, and evaluation policies of the SAP Program.
- 2. <u>Substance Abuse Program Manager (SAPM)</u>. The SAPM serves as the manager for the SAP Program within Commandant (CG-1111) and as a liaison to the Department of Defense and other agencies. Specific duties of the SAPM include:
  - Coordinate with Coast Guard Personnel Services Command (CG PSC) and the HSWL SC to provide staffing for Coast Guard Substance Abuse Prevention Specialist (SAPS) billets.
  - b. Draft, oversee, and coordinate medical guidance and clearance in development of substance abuse training and education curricula for Coast Guard personnel both on-line and face-to-face.
  - c. Ensure Medical Officers involved in evaluating, screening, or diagnosing substance abuse patients are afforded the opportunity to receive the Coast Guard Addictions

- Orientation for Health Care Provider (AOHCP MO) course or civilian equivalent specialized training regarding substance abuse and diagnosis.
- d. Collaborate with the Substance Abuse Prevention Program Supervisor (SAPPS) to develop, establish, maintain, and oversee all training and educational requirements for Medical Officers, SAPPS, SAPSs, and CDARs.
- e. Develop policy and manage budget for the SAP Program.
- f. Establish and oversee performance standards for the SAPPS and SAPs.
- g. Conduct outcome and research activities in the areas of substance abuse, prevention, screening, diagnosis, treatment, and follow-up care. Disseminate those results. When possible, create actionable items that provide efficiencies in the aforementioned areas.
- h. Establish collaborative and effective communication pathways with SAPPS, SAPSs, CDARs, and other field components.
- i. Responsible for oversight, implementation, and modifications to the substance abuse segment of the Work-Life Information Management System (WIMS) electronic case management system or its replacement.

## 3. Substance Abuse Prevention Program Supervisor (SAPPS).

Responsible for coordinating the implementation of the SAP policy and for supporting all Commandant (CG-1111) priority initiatives related to this program. The SAPPS shall:

- a. Provide oversight and supervision of SAPS.
- b. Advice commands on the availability of education, treatment, and rehabilitation resources and procedures for obtaining them.
- c. Process all requests; self, command and incident referrals for alcohol/drug rehabilitation.
- d. Approve selection of the medical screening provider.
- e. Oversee implementation and maintenance of Support and Aftercare Plans.
- f. Liaison with unit commanding officers, other military services, state and federal programs, and local civilian treatment facilities as appropriate.
- g. Establish, track, and maintain Personnel Qualification Standards (PQS) for SAPS.
- h. Complete annual Health Insurance Portability and Accountability Act (HIPAA) training related to substance abuse patient records.
- i. Ensure SAPS complete annual HIPAA training.
- j. Participate in Headquarters-sponsored teleconferences, meetings, and workgroups related to the SAP.
- k. Assign each SAPS an area of responsibility (District) with oversight for CDARs assigned to that AOR.
- 1. Supervise field operation of WIMS as directed by Commandant (CG-1111).
- m. Provide quality assurance standards and oversight to SAPSs to include accurate and

- timely documentation of cases in WIMS.
- n. Oversee compliance of SAPS with all applicable policies and procedures and related competencies.
- o. Establish and maintain collaborative and effective communication pathways with SAPM, SAPS, and other field components.
- p. Inform SAPM of all issues affecting program implementation and/or effectiveness and requiring Commandant (CG-1111) visibility, guidance, and/or intervention.
- q. Produce reports for Commandant (CG-1111) as directed.
- r. Advocate for the needs of the SAPS and the SAP Program.
- 4. <u>Substance Abuse Prevention Specialist (SAPS)</u>. HSWL SC personnel assigned to detached duty at large commands. SAPS provide resources and assistance with prevention training, education, screening assignment and treatment options, and administrative processing that supports the Coast Guard's policy governing substance use, abuse, and chemical dependency. In addition, SAPS are responsible for ensuring CDARs receive the guidance, training, and support needed to manage any potential alcohol and/or substance use issues. SAPS shall:
  - a. Maintain a roster of unit CDARs within the assigned AOR.
  - b. Notify CDARs of any changes in program policy or procedures.
  - c. Notify SAPPS of all issues affecting program implementation and/or effectiveness.
  - d. Assist CDARs in developing unit prevention plans and conducting general alcohol awareness and prevention education as outlined in section F.1. of this Chapter.
  - e. Assist CDARs with developing Support and Aftercare Plans.
  - f. Advise and assist AOR units on all matters pertaining to policy interpretation, substance abuse screenings, treatment, and aftercare. NOTE: Never diagnose or infer a diagnosis. Failure to comply will result in removal from position and may lead to further disciplinary action.
  - g. Approve selection of a Coast Guard Addiction Orientation for Health Care Provider (AOHCP) trained medical screening provider or obtain guidance from the SAPPS when needed.
  - h. Ensure complete and accurate data entry into WIMS for all alcohol incidents and referrals within the assigned AOR.
  - i. Provide guidance and quality assurance to CDARs for reporting substance related issues, as directed by the SAPPS and SAPM.
  - j. When stationed at Training Center Cape May or the U.S. Coast Guard Academy, provide recruits, officer candidates, direct commission officers, and cadets with:
    - (1) An initial orientation on Coast Guard substance abuse policies and the impact of substance abuse on the Coast Guard.
    - (2) An initial survey or questionnaire (e.g., Alcohol Use Disorders Identification Test) to assist in identifying personnel who are "at risk" for substance abuse.

- (3) Prevention-based educational programs to reduce the risk of future alcohol or other substance misuse for personnel identified as high risk.
- (4) Educational courses to fulfill basic alcohol education requirements, as outlined by the SAPM.
- k. Conduct prevention training as outlined in Section F.1. of this Chapter.
- 1. Complete the Addiction Orientation for Health Care Providers Substance Abuse Prevention Specialist (AOHCP-SAP) course.
- m. Complete annual HIPAA training related to substance abuse patient records.
- n. Ensure CDARs complete annual HIPAA training.
- 5. Commanding Officers and Officers-in-Charge shall:
  - a. Designate a CDAR in writing.
    - (1) Commands with less than 15 members and collocated with a larger command may request permission from that command to designate the larger unit's CDAR as their unit CDAR.
    - (2) Commands with 15 or more members shall designate a CDAR.
    - (3) Commands with 50 or greater members shall designate, at a minimum, one primary and one alternate CDAR.
    - (4) Members designated as a CDAR:
      - (a) Must be an E-5 to E-8 of any rating or an officer (O-1 to O-3).
      - (b) Should be mature, reliable, and fully understand the sensitive nature of this role.
      - (c) Understand that he/she works as an extension of the command and as a resource for the member.
  - b. Place the CDAR on all unit check-in/out lists.
  - c. Ensure members selected for CDAR attend the CDAR course prior to accepting the appointment. Training is conducted by the SAP Program as per training and educational requirements outlined in Section F of this chapter.
  - d. Ensure unit CDAR submits updates to SAPPS per Sections G, H, and I of this Chapter.
  - e. Ensure all required steps are completed by the CDAR to accurately and completely document all substance abuse incidents in accordance with Reference (f), to include:
    - (1) Assisting with implementation of a Pre-Treatment Plan.
    - (2) Facilitating completion of appropriate treatment (if required).
    - (3) Documenting corrective action (if necessary).
    - (4) Assisting with implementation, oversight and monitoring of aftercare.
  - f. Promote responsible attitudes toward the use of alcohol, both on and off Coast Guard

- facilities. Guidelines for appropriate use of alcohol may be found at the National Institutes of Alcohol Abuse and Alcoholism web site: (http://rethinkingdrinking.niaaa.nih.gov/).
- g. Ensure a unit Alcohol Abuse Prevention Plan is developed, implemented and updated yearly. SAPS are available to assist as referenced in Section E.4.e of this Chapter
- h. Ensure that the CDAR participates in one or more of the following unit committees: safety, morale, health promotion or training.
- i. Ensure members are afforded the treatment and educational opportunities outlined in Sections F, G and H of this Chapter.
- j. Use all available Coast Guard approved resources (e.g., Work-Life staff, TRICARE providers) to identify potential risk factors for substance abuse within a unit and establish protective factors to address and reduce the risk.
- k. Cultivate an environment where members can seek assistance for actual or perceived issues with substances (reduce stigma and increase help seeking behavior).
- 1. Ensure the CDAR provides copies of all documentation to the receiving command when members on an Aftercare Plan are transferring.
- 6. <u>Command Drug and Alcohol Representative (CDAR)</u>. Unit members who serve as an advisor to their command in the administration of the unit's substance abuse program. CDAR is a collateral duty and is administrative and educative in nature. Each CDAR shall:
  - a. Contact SAPS within 24 hours of commanding officer notification of a potential substance related issue.
  - b. Collaborate with SAPS to provide administrative support to command regarding prevention strategies and treatment options.
  - c. Collaborate with SAPS to prepare Administrative Remarks, Form CG-3307, per Section G.3.b of this Chapter.
  - d. Schedule and document required unit alcohol training per Section F of this Chapter.
  - e. Prepare and prominently display prevention awareness materials.
  - f. Collaborate with SAPS to initiate substance abuse screenings, referrals, and treatment. NOTE: Never diagnose or infer a diagnosis. Failure to comply will result in removal from position and may lead to further disciplinary action.
  - g. Ensure that all documentation is complete before arranging treatment or training via SAPS.
  - h. Keep the command informed of the status of personnel undergoing treatment, including expected date of completion and/or return, prognosis, and personal needs (e.g., pay, orders, etc.).
  - i. Collaborate with SAPS to develop Support and Aftercare Plans.
  - j. Monitor the mandatory Pre-Treatment and Aftercare Plans with the commanding officer.
  - k. Provide updates to SAPS for all members who are:

- (1) Assigned an Aftercare Plan.
- (2) Transferred or discharged from service while in aftercare.
- 1. Provide copies of all documentation to the receiving command when members on an Aftercare Plan are transferring.
- m. Complete annual HIPAA training related to substance abuse patient records.
- n. Provide SAPS with all required data for entry in WIMS as directed.

### 7. Coast Guard Health Services Personnel.

- a. Medical Officers shall:
  - (1) Facilitate substance abuse screening services when needed, in coordination with the SAPS or SAPPS.
  - (2) Provide substance abuse screenings in accordance with their training, professional experience, and clinical privileges (AOHCP).
  - (3) Conduct screenings within 72 hours of a referral.
  - (4) Attend the Addiction Orientation for Health Care Providers (AOHCP) course.
- b. Medical record custodians shall assist the CDAR as needed to locate required information within the member's medical record.
- c. Health care providers and medical record custodians shall ensure entries are made on the Chronological Record of Medical Care, Form SF-600, per Section G.3.c. of this Chapter.
- 8. Member Responsibilities. Each member shall:
  - a. Consume alcohol in a responsible manner or choose to abstain when any use may affect the readiness or safety of the member or unit.
  - b. Seek guidance from a Medical or Pharmacy Officer or other Coast Guard approved resources before using any (dietary) supplement.
  - c. Complete all mandatory alcohol awareness and prevention trainings as required.
  - d. Seek assistance from medical (self-referral) for screening when there is concern or indication that substance use is having a negative impact.
  - e. If mandated, fully and completely follow the treatment plan as designed by the Medical Officer.
- F. <u>Training and Education Requirements</u>. Commandant (CG-1111), in coordination with the HSWL SC, is responsible for the development, implementation, and evaluation of substance abuse training and education programs. These trainings are tailored to meet the needs of Coast Guard and SAPP personnel. This section outlines these requirements.
  - 1. Coast Guard Personnel.
    - a. <u>Accession Points</u>. Universal substance abuse prevention training shall be conducted at Training Center Cape May and the Coast Guard Academy.
      - (1) Coast Guard Academy. Cadets, officer candidates, and direct commission

- officers shall complete an orientation on substance abuse awareness and current policy.
- (2) <u>Training Center Cape May</u>. All recruits shall complete training on drug and alcohol risk management, substance abuse policy, and the availability of substance abuse treatment resources.
- b. <u>Training Centers</u>. Prevention training shall be conducted at all "A" and select "C" schools, to include Chief Warrant Officer Professional Development, Officer Candidate School, and CPO Academy. Training normally shall be conducted by a SAP.
- c. <u>Substance Abuse Prevention Training</u>. Substance abuse prevention training uses a universal, selective and directed model. Universal prevention training is directed towards the general population or entire force. Focus is on subgroups that are not at "high risk" for developing a Substance Use Disorder (SUD). Selective prevention training is directed towards members whose behavior places them at higher "risk" and warrants additional education (e.g., heavy drinkers). Indicated prevention is for members whose behavior clearly puts them "at risk" for developing a SUD. Incident, self and command referrals would be a target population. Additional training available is:
  - (1) <u>Substance Abuse Prevention for Managers/Supervisors</u>. This training is recommended for senior leadership and active duty and civilian supervisors and managers. This training addresses roles and responsibilities, pre-treatment and aftercare guidance, and resources to enhance leadership's ability to identify and deal with substance abuse issues in the workplace.
  - (2) <u>Substance Abuse Prevention All Hands</u>. This training is for all active duty and reserve personnel and meets the Mandated Training requirement. This targeted, yet customized prevention training, addresses Coast Guard policy as well as signs, symptoms, and consequences of substance abuse. The principle focus includes impact on readiness and morale. This training is conducted as a result of a commander's concern for his/her unit.
- d. On-Line Mandated Training (Universal). This training is for all active duty and reserve personnel and meets the Mandated Training requirement. On-Line Mandated Training addresses Coast Guard policy as well as signs, symptoms, and consequences of substance abuse, including impact on readiness and morale. Civilian employees may be required to attend this training at the commanding officer's discretion.
- 2. Substance Abuse Prevention Program Personnel.
  - a. <u>SAPS</u>. The following training, education, and experience are required for this position.
    - (1) Proficiency with Coast Guard workstations, software/applications, and electronic records management systems (e.g. Direct Access, WIMS, Coast Guard Business Intelligence).
    - (2) CDAR School prior to accepting appointment as a SAPPS. If certification is

- older than one year, the course must be repeated.
- (3) Complete Addictions Orientation for Health Care Providers for Substance Abuse Prevention Specialists (AOHCP-SAP) within 6 months of assuming duties.
- (4) Complete Navy Substance Abuse Prevention School within 6 months of assuming duties.
- (5) Additional training through government or community agencies and civilian programs as required by the SAPM.
- (6) Complete Coast Guard Instructor Development Course (IDC) within 6 months of assuming duties.
- b. <u>CDAR</u>. Attend CDAR School prior to accepting appointment. A qualification code will be assigned to all persons receiving the CDAR assignment and the training outlined in the U.S. Coast Guard Competency Management System Manual, COMDTINST M5300.2 (series).
- 3. <u>Primary Intervention: Prime for Life</u>. An evidence-based alcohol and drug program for members who show signs of misusing alcohol.
  - a. Commands may direct members to this training who do not meet the criteria for Alcohol Abuse or Alcohol Dependence.
  - b. Members receiving Administrative Remarks, Form CG-3307, for an alcohol-related incident are required to attend.
  - c. Other courses may be considered, but require pre-approval of the SAPPS.
  - d. This course replaces IMPACT, BASIC or its civilian equivalent.
- G. Medical Referrals, Screenings, and Action for Alcohol and/or Drugs.
  - 1. <u>Referrals</u>. The preferred method of addressing potential or suspected abuse is through medical referrals (e.g., command-referral or self-referral). These are means of early intervention in the progression of substance abuse.
    - a. <u>Command Referral</u>. Initiated by the command with the intention to ensure the member receives appropriate screening and treatment if necessary.
      - (1) Command referrals are at the discretion of the command and can based on any credible factor that indicates substance abuse such as third person account, personal observation, or noticeable change in job performance.
      - (2) Command referrals where no alcohol or drug incident has occurred, are not intended as disciplinary or punitive and copies of the referral, screening, and treatment plan shall be maintained in the medical records.
    - b. <u>Self-Referral</u>. Initiated by the member prior to an alcohol or drug incident to receive appropriate screening and treatment if necessary.
      - (1) Request must be made to a CDAR, SAPS, or healthcare care provider.
      - (2) There can be no credible evidence of involvement in an alcohol-related incident.

- (3) Members may self-refer for drug abuse; however, self-referral may result in determination of a drug incident and administrative separation as per Reference (f).
- (4) Self-referral for alcohol abuse is not maintained in the member's Personnel Data Record (PDR) and is not intended as disciplinary or punitive. Copies of the referral, screening, and treatment plan shall be maintained in the medical records.
- (5) Self-referral resulting in abusive or dependent diagnosis that requires treatment shall result in disciplinary action if the member refuses, fails, or does not complete treatment. The health of the member takes priority over career and advancement. Copies of the referral, screening, and treatment plan shall be maintained in the PDR and Medical Record.
- c. <u>Incident Referral</u>. Initiated by the command where consumption of substances were considered a contributing factor to an incident.
  - (1) The following are examples of substance-related incidents requiring medical screening. A description of criteria for an alcohol/drug incident can be found in Reference (f).
    - (a) Driving or operating motorized vehicles while impaired (e.g., DUI/DWI/OWI);
    - (b) Drunk in public;
    - (c) Drunk and disorderly conduct;
    - (d) Alcohol-related civilian arrest;
    - (e) Domestic violence where alcohol is a factor;
    - (f) Unfit for duty due to alcohol intoxication or impairment;
    - (g) Underage drinking; and,
    - (h) Determination of a drug incident.
  - (2) Referrals resulting from an alcohol or drug incident shall be documented in the PDR and copies of the referral, screening, and treatment plan shall be maintained in the member's medical record.
  - (3) All members receiving an alcohol incident shall be enrolled in the Prime for Life Program.

## 2. Medical Screenings.

- a. Shall be conducted within 72 hours of a referral (Medical Officer notification).
- b. Should be conducted by an AOHCP-trained Coast Guard Medical Officer; however, TRICARE approved Substance Abuse Rehabilitation Programs or DoD MTF screening facilities may be used as an alternative. In all cases, the SAPS shall approve selection of the screening provider with preference for an AOHCP-trained Medical Officer.
- c. Members who incur an alcohol incident after receiving any alcohol-related diagnosis are required to be screened again.

- d. Selected Reserve members while in a duty status (under orders for any inactive or active duty) are authorized to receive substance abuse screening, diagnostics, and referral.
- 3. <u>Action Steps Following a Screening</u>. These following actions are taken when a member is diagnosed with a substance use disorder: (e.g., alcohol abusive, alcohol dependent, or drug dependent- and is awaiting treatment:
  - a. Commands shall:
    - (1) Review the evaluation and treatment recommendations provided by the screening facility and treat this diagnosis as any other illness and ensure treatment is initiated immediately.
    - (2) Collaborate with the CDAR and the SAPS to establish a Pre-Treatment Plan. The plan shall include:
      - (a) Administrative Remarks, Form CG-3307, outlining the requirements of the Pre-Treatment Plan, per Enclosure (6) of Reference (f);
      - (b) An order to abstain from alcohol until further evaluation and recommendation from the treatment facility;
      - (c) Weekly, documented meetings with the CDAR; and,
      - (d) Attendance of an abstinence-based, twelve-step or "at risk" programs a minimum of twice a week.

### b. CDAR shall:

- (1) Collaborate with SAPS to prepare Administrative Remarks, Form CG-3307. This shall contain:
  - (a) Reason for referral;
  - (b) Screening facility and location;
  - (c) Diagnosis;
  - (d) Treatment recommendation; and,
  - (e) Policy violation (if applicable), as per Enclosure (6) of Reference (f).
- (2) Monitor Pre-Treatment Plan with the commanding officer and provide updates to SAPS.
- c. Health care providers and health record custodians shall ensure the following entries are made on the Chronological Record of Medical Care, Form SF-600:
  - (1) Reason for referral;
  - (2) Screening facility and location;
  - (3) Diagnosis;
  - (4) Treatment recommendation (to include ASAM Patient Placement Criterion treatment level); and,
  - (5) Pre-Treatment Plan.

### H. Treatment.

- 1. Commands must obtain guidance and authorization from SAPS prior to pursuing treatment for a member.
- 2. SAPPS must authorize any patient treatment plan with an "alcohol abuse" or "alcohol dependent" diagnosis. SAPS shall review all other treatment plans.
- 3. Commands must verify members' compliance with all aspects of outpatient treatment programs (e.g., attendance at group therapy sessions or 12-step meetings) until all requirements are completed.
- 4. The Coast Guard SAP Program follows the treatment model published by the American Society of Addiction Medicine (ASAM). This model is based on Patient Placement Criteria. The following are the ASAM recommended levels:
  - a. Level I Outpatient Treatment (OP).
    - (1) Personnel diagnosed as Alcohol Abusive (DSM-IV code 305.0) and recommended for outpatient treatment as determined by the screening facility.
    - (2) MTFs or TRICARE facilities that offer this type of treatment may be used.
  - b. Level II Intensive Outpatient/Partial Hospitalization (IOP).
    - (1) Personnel recommended for this level require a greater level of care than that provided by Level I OP.
    - (2) Level II consists of daily classroom instruction and individual/group counseling sessions.
    - (3) Members who are on Temporary Assigned Duty (TAD) will normally be berthed at the Bachelor Enlisted Quarters or Bachelor Officer Quarters nearest to the facility.
    - (4) The length of treatment will vary depending on the member's degree of need.
    - (5) MTFs or TRICARE facilities that offer this type of treatment may be used.
  - c. Level III Residential/Inpatient.
    - (1) Personnel diagnosed as alcohol dependent (DSM-IV code 303.9) are normally referred for this treatment level.
    - (2) Inpatient rehabilitation is an intensive residential treatment program that provides treatment and berthing on site.
    - (3) Alcohol dependent members who have other primary diagnosis which would undermine or interfere with their treatment for alcoholism may require a referral to an MTF with additional on-site treatment facilities.
  - d. Level IV Medically Managed Intensive Inpatient Treatment (Detoxification).
    - (1) Medical Emergency. The member shall be taken to the nearest MTF or local civilian hospital emergency room.
    - (2) Normally consists of three to seven days in a hospital setting.

- (3) Refer to "Medical Screening" in Section G.2. of this Chapter for the required documentation.
- 5. <u>Selection of a Treatment Facility (Treatment Placement)</u>.
  - a. Treatment is provided by local MTF. If a local MTF does not offer the recommended treatment, a TRICARE facility should be utilized.
  - b. Vetted civilian facilities (Non TRICARE) may be used. Check with your District SAPS for vetted civilian providers.
- 6. <u>Transportation of Member to Treatment</u>. Commands are strongly encouraged to transport members to and from treatment if needed. Commands should consider treatment level, acceptance of treatment, ability to travel due to physical and/or legal restriction (e.g., driving license suspended). Travel via privately owned vehicle to inpatient rehabilitation is not recommended and strongly discouraged.
- 7. <u>Treatment Grading</u>. Treatment programs recommended by a Medical Officer, SAPPS or authorized TRICARE provider shall not be downgraded to a lower level of care by the command. Only higher medical authorities shall change treatment options.
- 8. Pre-existing Condition. Per Reference (b), Section 5.B.5., a member diagnosed within the first 180 days of enlistment as drug/alcohol abusive or dependent is considered physically disqualified for enlistment. Separation is based on the diagnosis, not the incident itself. Commanding officers and officers-in-charge shall process these members in accordance with COMDTINST M1000.4, Paragraph 1.B.12. The Coast Guard is not obligated to offer treatment prior to separation. Commands should not offer treatment if said treatment will delay separation beyond 180 days of active Coast Guard service.
- 9. <u>CDAR Responsibilities for Treatment Placement.</u> The CDAR will facilitate placing members into alcohol treatment and will ensure that all documentation required by the facility is complete. The CDAR will accomplish this responsibility with the assistance and guidance of the command, the member's Primary Care Manager (PCM) and the HSWL SC SAPPS. The CDAR will take the following steps:
  - a. Contact your District SAPS first and foremost. The SAPS will guide and assist that all required documentation is completed.
  - b. When required, provide a copy of a Chronological Record of Medical Care, Form SF-600, indicating that an HIV-1 screening was performed. The confidentiality of the patients who test positive for HIV-1 is to be respected and prevention guarded.
  - c. Prior to seeking substance abuse treatment, submit via fax, according to local standard operating procedures (SOP), a Coast Guard Rehabilitation Treatment Request to the HSWL SC SAPPS for authorization of treatment.
  - d. Submit a Coast Guard Substance Abuse Screening Assessment to the treatment facility.
- 10. <u>Refusal of Treatment</u>. Members diagnosed as Alcohol Abusive or Alcohol Dependent (DSM IV codes 305.0 or 303.9), or other drug dependence (DSM IV 304.X) who refuse treatment shall:
  - a. Sign Administrative Remarks, Form CG-3307, acknowledging that they waive their

- right to benefits under the Department of Veterans Affairs (VA) for treatment for alcohol abuse/dependency or drug dependency.
- b. Be processed for separation from the Coast Guard in accordance with Reference (f).
- c. Have entered in their medical record, on a Chronological Record of Medical Care, Form SF 600, the refusal of treatment as noted by Form CG-3307.
- 11. <u>Family Member Involvement</u>. Treatment of active duty members at some civilian and TRICARE facilities and MTFs may involve family members as prescribed by the treatment facility. Additionally, the member's primary treatment coordinator must deem it an essential component to successful outcome. With advent of technology, this may be achieved via multiple electronic modalities.
- 12. <u>Funding for Treatment</u>. As a precondition for Coast Guard funding of treatment, commands are to ensure Pre-Treatment Plans and pre-education plans include the term of employment: "abstain from alcohol."
  - a. Alcohol education (e.g., DUI School) shall be funded by the member when ordered by civilian authority.
  - b. Alcohol education (e.g., Prime for Life) will be funded by the command when directed by Coast Guard required screening. This will normally involve only local travel and little or no course fee. This is considered recommended education and not treatment.
  - c. <u>Level II, Level III and Level IV Treatment</u>. Local treatment through vetted TRICARE facilities and MTFs reduces the cost of travel associated with medical care. The availability of local facilities that offer substance abuse rehabilitation treatment is limited in some areas. If travel to obtain substance abuse related medical care is beyond the scope of the local area, commands must request a Treatment Authorization via the HSWL SC SAPPS prior to receiving treatment.
  - d. <u>Travel for Spouses</u>. Funding for travel for spouses involved in the treatment of an active duty member may be available on a case-by-case basis. Travel orders for non-active duty spouses are approved by the HSWL SC as per Invitational Travel Orders, COMDTINST 12570.3 (series). Active duty spouses may receive TAD orders, as approved by the HSWL-SC via the SAPPS.
- I. <u>Aftercare Plans for Alcohol Abuse</u>. The Aftercare Plan is an essential part of the rehabilitation process and members shall be required to fulfill requirements as established by the treatment facility. CDARs shall complete an Administrative Remarks, Form CG-3307, noting that the member has successfully completed his/her treatment plan at the appropriate stated benchmarks (e.g., 30. 60, 90 days of abstinence; attending meetings, etc.) CDAR should also notify their District SAPS so treatment milestones (or the lack of) can be recorded in WIMS.
  - 1. <u>Level II Support Plan</u>. For members not diagnosed as alcohol dependent, the CDAR should immediately document the Support Plan requirements in Administrative Remarks, Form CG-3307, as per Enclosure (6) of Reference (f). The plan should include:
    - a. <u>Abstinence</u>. Abstaining from using alcoholic beverages for a minimum, the first 90 days.

- b. Meet with the CDAR. Meeting with the CDAR on a weekly basis for 90 days. These meetings can be informal and are meant to be an opportunity for the member to "check-in" with the CDAR.
- c. <u>Support Program</u>. Participating in a twelve-step, abstinence-based group support program at least twice weekly for 90 days.
- d. <u>CDAR Reports</u>. Completing and submitting a CDAR Referral and Follow-Up Report, as required by 3.a. (2). of this Section. When possible, schedule a quarterly meeting with Medical Officer and the executive officer/executive petty officer to review current case load.
- e. Other Supporting Plans. Commands are strongly encouraged to incorporate the Individual Development Plan and a dietary and fitness plan to help with behavior change. HSWL RP HPMs can assist with this. The use of CG SUPRT (toll free 1-855-247-8778) is strongly encouraged to obtain assistance from a health coach.
- f. <u>Plan for Responsible Alcohol Use</u>. Upon completing the aftercare program, the member maybe allowed to use alcohol in a responsible and abuse-free manner (after the initial 90 days post-treatment). Using the 0,1,2,3 model outlined earlier in this instruction is strongly recommended. Members diagnosed as abusive or dependent will follow the Aftercare Plan established by the discharging treatment facility.

# 2. <u>Level II Support Plan Documentation</u>.

- a. <u>Administrative Remarks</u>. Administrative Remarks, Form CG-3307, shall include: completion of treatment and a copy of the support plan. This shall be placed in the Service Record per Reference (f).
- b. <u>Secondary Administrative Remarks</u>. A second Administrative Remarks, Form CG-3307, to include successful completion of Support Plan and policy shall be placed in the Service Record per Reference (f).
- c. <u>Health Record Documentation</u>. Support Plans shall be documented in the member's medical record on a Chronological Record of Medical Care, Form SF-600, to include successful treatment completion, treatment facility diagnosis, type of treatment, dates of treatment, and support requirements. Completion of the Support Plan shall also be documented on a Chronological Record of Medical Care, Form SF-600, and filed in the member's Medical Record.

## 3. Aftercare Plan for Alcohol Dependence.

- a. <u>Support Plan</u>. The MTF, TRICARE or civilian treatment facility shall provide a written Aftercare Plan during the terminal phase of the rehabilitation program.
- b. The command is responsible for implementing, documenting, and actively supporting aftercare programs. There may be some circumstances where operational commitments may force the unit commander to modify the implementation of the Aftercare Plan. This plan shall be individually tailored to the member's needs and must include, but is not limited to:
  - (1) Abstinence from alcohol. This is an administrative requirement for alcohol dependent members who desire continued service as per Reference (f).

- (2) The aftercare period for alcohol dependent is normally 12 to 18 months.
- (3) Because relapse is a condition of the disease of addiction, non-compliance with the abstinence portion of the member's Treatment Plan in itself is not reason for discharge. Behaviors associated with relapse, (e.g., being late or leaving early from work, on the job injury, declining work performance, mood change, irritability, argumentativeness, isolation, another alcohol-related incident) may provide sufficient justification for discharge.
- (4) Meet with the CDAR on a weekly basis for the first six months. The CDAR will then determine the frequency of meetings thereafter.
- (5) Participation in a 12-step or abstinence-based group support program at least twice weekly, operations permitting, for 12 months. Alcoholics Anonymous is the recommended 12-step program focusing on abstinence. Other 12-step and support groups must be approved by the SAPS.
- (6) Al-Anon, Ala-Teen, and other family support groups are also recommended to aid the member and the family in recovery from the effects of alcoholism.
- (7) Meet with the primary care manager or medical officer quarterly or as needed
- (8) The voluntary use of alcohol-inhibiting drugs, such as Disulfiram (Antabuse) or Naltrexone is recommended when clinically supported.
- (9) Pharmacotherapy such as Campril, or other "anti-craving" medications may be prescribed.

## 4. Documentation for Aftercare Plan for Dependence.

- a. Administrative Remarks, Form CG-3307, to include the completion of treatment and a copy of the Aftercare Plan. This will be placed in the member's PDR per enclosure (6) of Reference (f).
- b. A second Administrative Remarks, Form CG-3307, shall be placed in the member's Service Record per Reference (f), after successful completion of the Aftercare Plan.
- c. Aftercare Plans shall be documented in the member's medical record on a Chronological Record of Medical Care, Form SF-600 to include:
  - (1) Successful treatment completion;
  - (2) Treatment facility diagnosis;
  - (3) Type of treatment;
  - (4) Dates of treatment; and,
  - (5) Aftercare requirements.
- d. All electronic medical record documentation defined by the SAPM shall be completed.

### 5. Progress Reports for Alcohol Dependence.

a. <u>Quarterly Meeting</u>. The member, the CDAR, and the commanding officer/officer-in-charge (or representative) shall meet quarterly to evaluate progress during the required

aftercare period

- b. <u>Initial Report</u>. Upon the member's return to the unit, the commanding officer/officer-in-charge shall forward a copy of the following to the SAPS (Eyes Only), and a copy of the narrative summary shall be placed in the member's medical record:
  - (1) Narrative summary of the rehabilitative treatment;
  - (2) Support Plan or the Aftercare Plan; and,
  - (3) Initial CDAR Referral and Follow-Up Report.
- c. <u>Follow-up Reports</u>. The command shall submit quarterly support and aftercare follow-up reports. This shall also be recorded in the WIMS.
- J. <u>Rehabilitation Failure</u>. A rehabilitation failure occurs when a member does not complete an alcohol treatment program or aftercare plan due to noncompliance. Non-compliance with treatment includes:
  - 1. Being discharged against medical advice (AMA);
  - 2. Being asked to leave the treatment facility, not actively participating in required activities or leaving treatment before designated treatment is complete; and,
  - 3. Having an Alcohol Incident during treatment or the aftercare program.

In such cases, the member shall be processed for separation in accordance with Reference (f).

- K. Paperwork and Records Management for Substance Abuse Cases.
  - 1. <u>Confidentiality</u>. All correspondence, health, and personnel records regarding alcohol problems are "For Official Use Only" and shall be handled in accordance with HIPAA and all other applicable records management requirements.
  - 2. CDAR Documentation.
    - a. The CDAR shall complete all documentation requirements as instructed by the SAPS in the CDAR C-School. CDARs are expected to remain current on documentation requirements and keep abreast of all changes as directed by the SAPPS.
    - b. Anytime a member needs to receive treatment for alcohol or substance abuse the CDAR shall contact the SAPS or SAPPS and follow the requirements as outlined in this chapter.
    - c. All data, including alcohol incident, self-referral, command referral and subsequent case-related information shall be entered into the WIMS by the SAPS as directed by the SAPS.
    - d. The CDAR shall under no circumstances maintain separate case files or keep copies or electronic files of medical and personnel documents.
    - e. CDARs may maintain a password protected spreadsheet as an electronic reminder list to assist in appointment scheduling or to monitor a member's progress through the various phases of alcohol abuse treatment. A copy of the Aftercare or Support Plan may be maintained until it is completed by the member. Upon completion of treatment all information shall be permanently destroyed by shredding.

### 3. Administrative Documentation.

- a. <u>Service Record Entries</u>. All documentation pertaining to alcohol/drug problems situations must be documented in the member's service record. The CDAR, in coordination with the SAP and the command, will ensure that all entries made in the member's service record completely and accurately document the incident, self-referral or command referral, and all actions/counseling afforded to the member. At a minimum, such documentation will include:
  - (1) Treatment, treatment facility recommendations and dates of treatment, recommended aftercare program and aftercare program instituted. Details if such treatment is not completed. Narrative summaries from the treatment facility shall be obtained and filed in the member's Medical Record.
  - (2) Aftercare interviews conducted and reports submitted to the HSWL SC SAPS. Appropriate notation when aftercare report status is completed.
  - (3) Referral for re-evaluation, revision of Treatment or Aftercare Plan, or institution of a second aftercare plan.
  - (4) Any rehabilitation failures.
  - (5) All data is to be entered into WIMS by the SAPS, as instructed.
- b. Medical Record Entries. Any medical actions resulting from alcohol problems shall be documented in the member's medical record. The CDAR (and with guidance from the SAP) together with the health record custodian will ensure that entries are made in the member's Medical Record on a Chronological Record of Medical Care, Form SF-600. Documentation is to include, but is not limited to all medical actions taken and appropriate reports or summaries are added to the medical record. At a minimum, such documentation shall include:
  - (1) Results/recommendations from alcohol screening for alcohol-related problems, the reason for referral, physician and facility evaluating the member, and diagnosis (dependent, abusive, or diagnosis deferred).
  - (2) Details of Pre-Treatment Plan or intervention prior to discharge from the Coast Guard.
  - (3) Details of outpatient or inpatient treatment completed (to include treatment facility, type of treatment, and dates of treatment), recommended aftercare program, and actual aftercare program instituted. Written details if the Treatment Plan is not completed. Narrative summaries from the treatment facility shall be obtained and filed in the member's medical record.
  - (4) Aftercare interviews conducted and reports submitted to SAPS. Appropriate notation when aftercare report status is completed.
  - (5) Referral for re-evaluation, revision of Treatment or Aftercare Plan, or institution of a second Aftercare Plan.
  - (6) A Chronological Record of Medical Care, Form SF-600, entry when rehabilitation failure occurs.

c. Record Keeping by the SAPS. The SAPS shall maintain a secure electronic file system (e.g., WIMS) on all members for whom alcohol rehabilitation (residential and outpatient) has been requested and when alcohol incidents have occurred, as directed by Commandant (CG-1111). This process will be identified and clarified by the SAPM.

# APPENDIX A. Resources, Definitions and Commonly Used Terminology

The following definitions are for use within the SAP Program. They do not change the definitions found in statutory provisions, regulations, or directives, which address personnel administration, medical care, or determination of misconduct and criminal or civil responsibilities for persons, acts, or omissions.

- 1. <u>Abstinence-Based</u>. Requiring the non-use of alcohol in any form.
- 2. <u>Addiction</u>. A general reference to a compulsive or repetitive behavior in relationship to the use of various addictive substances (e.g., alcohol, caffeine, food, supplements, prescription or illicit drugs, etc.) or experiences (e.g., gambling, exercise, sex, etc.).
- 3. <u>Aftercare or Support Plan</u>. A monitored program of continued care, immediately following completion of a formal inpatient or outpatient treatment program for substance abuse or dependency.
- 4. <u>Al-Anon</u>. The Al-Anon family groups are a fellowship of relatives and friends of alcoholics who share their experience, strength, and hope to solve their common problems of fear, insecurity, lack of understanding of the alcoholic, and disordered personal lives resulting from alcoholism. Al-Anon is listed in the telephone directory. The Al-Anon Family Group Headquarters address is 1600 Corporate Landing Parkway, Virginia Beach, VA 23454-5617. Their telephone is 1-888-425-2666, and their website is <a href="http://www.al-anon.alateen.org./">http://www.al-anon.alateen.org./</a>
- 5. <u>Ala-Teen</u>. Ala-Teen is a fellowship of young people, 12 to 20 years of age, who are the offspring of alcoholics. They meet together to help themselves and each other to learn about alcoholism, to cope with the troubles brought about by alcoholism, to make a new life, and to set goals for themselves. Ala-Teen is listed in the telephone directory or information can be obtained through Al-Anon. The Ala-Teen address is the same as shown above for Al-Anon. The website is <a href="http://www.al-anon.alateen.org/">http://www.al-anon.alateen.org/</a>.
- 6. <u>Alcohol Abuse</u>. A maladaptive pattern of alcohol use that meets the following criteria as published in the Diagnostic and Statistical Manual, (Current) Edition (DSM), code number 305.0: (1) a member consumes alcohol which causes other (performance of duty, health, behavior, family, community) problems or interferes with preventionty; (2) abuse has lasted at least one month; and (3) the member has never met the criteria for alcohol dependence.
- 7. <u>Alcohol Dependence</u>. A diagnosis made by a psychiatrist, psychologist or medical officer using specific criteria published in the DSM, diagnostic code number 303.9. Once a member has been diagnosed as alcohol dependent the diagnosis shall not be downgraded to alcohol abusive.
- 8. Alcoholic. Pejorative reference to individuals who are alcohol dependent.
- 9. Alcoholics Anonymous (A.A.). A.A. is a worldwide fellowship of men and women who share their experiences, strength, and hope with each other that they may solve their common problem and help others to recover from alcoholism. Local A.A. chapters can be found in the white/yellow pages of almost any telephone book. The A.A. World Services address is 475 Riverside Dr., New York, NY 10115, (212) 870-3400 or via the web at <a href="http://www.aa.org/">http://www.aa.org/</a>. A.A. World Services may also be contacted for information on A.A.

- Internationalists/Loners for members who are stationed aboard ship or on isolated duty.
- 10. Alcohol Incident. Any behavior in which alcohol is determined, by the commanding officer, to be a significant or causative factor that results in the member's loss of ability to perform assigned duties, brings discredit upon the Uniformed Services, or is a violation of the Uniform Code of Military Justice, Federal, state, or local laws. The member need not be found guilty at court-martial, in a civilian court, or be awarded non-judicial punishment for the behavior to be considered an Alcohol Incident. The member must actually consume alcohol for an alcohol incident to have occurred. Underage drinking by itself is considered an alcohol incident.
- 11. Alcoholism. Same as alcohol dependence.
- 12. <u>Alcohol-Related Situation</u>. An Alcohol-Related Situation is defined as any situation where alcohol was involved or present, but was not considered a causative factor for a member's undesirable behavior or performance. A member does not have to consume alcohol to meet this criterion, e.g., purchasing alcohol for minors. Commands shall not use the term "Alcohol-Related Situation" when the behavior in question clearly meets the criteria of an Alcohol Incident.
- 13. <u>Addictions Orientation for Health Care Providers (AOHCP)</u>. A training course for medical officers and Substance Abuse Prevention Specialists performing drug and alcohol assessment screenings and newly assigned Substance Abuse Prevention Specialists.
- 14. Command Drug and Alcohol Representative (CDAR). Unit members who serve as consultants and advisors to their command in the administration of the unit substance abuse program. A CDAR's duties are a collateral responsibility and non-medical in nature. Every unit shall have a designated CDAR. CDARs are expected to manage substance abuse cases administratively and in a timely manner to minimize impact to their unit's mission(s).
- 15. <u>Command Referral</u>. A commanding officer or officer-in-charge may direct a member to be screened when substance abuse or dependency is suspected.
- 16. Continuum of Care. A medical model of care provided by the Department of Defense and civilian substance abuse/dependency treatment facilities. Members recommended for abuse/dependency treatment will be referred to the appropriate level of treatment as determined by a qualified screener utilizing the Patient Placement Criteria (PPC). The member's medical care requirements will be continually evaluated throughout the multilevel treatment process ensuring individual needs are met.
- 17. <u>Detoxification</u>. The medically-supervised process of eliminating excess alcohol (or other drugs) from the body. This is usually done in an inpatient setting for a period of 3 to 7 days.
- 18. <u>Diagnostic and Statistical Manual (DSM) of Mental Disorders of the American Psychiatric Association</u>. A manual used by mental health professionals, which establishes uniform criteria and diagnostic codes for mental health problems including alcohol abuse and dependence. For purposes of this Instruction, substance abuse-related diagnoses should be reported using DSM-IV criteria.
- 19. Family Member. Includes married partners, Coast Guard or DoD registered same-sex

- domestic partners, minor dependents, and adult dependents.
- 20. <u>Headquarters Substance Abuse Program Manager</u>. The person assigned to Commandant (CG-1111) who manages policy, administration, and financial resources of the Coast Guard's SAP Program.
- 21. <u>HSWL-SC Substance Abuse Prevention Program Supervisor (SAPPS)</u>. The CWO (MED) assigned to HSWL SC for primary duty as the SAPPS. The HSWL SC SAPPS provides guidance on substance abuse treatment resources and collects required CDAR report data and manages the Work-Life Information Management System (WIMS) for Alcohol Incidents and Situations.
- 22. <u>Intoxication</u>. A state of impaired mental and/or physical functioning resulting from the presence of alcohol or other intoxicants in the body. Intoxication may be legally defined as per Uniform Code of Military Justice (UCMJ) Manual, Article 111, and terms that are outlined by state and/or local laws.
- 23. <u>IMPACT Course</u>. A Navy-sponsored interactive preventive educational program. Designed for members who require additional alcohol prevention education.
- 24. <u>Medical Officer</u>. Physicians, Physician Assistants, and Nurse Practitioners (NP) who are members of the Coast Guard or Public Health Service detailed to the Coast Guard. Civilian medical practitioners (under contract to the Coast Guard or General Schedule employees) assigned to a medical treatment facility are considered medical officers to the limits defined by the language of their contract and/or job description.
- 25. <u>Patient Placement Criteria (PPC)</u>. Personnel are evaluated for placement in the Continuum of Care, utilizing the following seven dimensions reflecting the severity of the individual's problem. (ASAM criterion)
  - a. Acute intoxication and/or withdrawal potential;
  - b. Biomedical conditions or complications;
  - c. Emotional and behavioral conditions;
  - d. Treatment acceptance and/or resistance;
  - e. Relapse potential;
  - f. Recovery environment; and,
  - g. Operational commitments/patient availability for care.
- 26. <u>Primary Care Manager (PCM)</u>. The medical officer or civilian TRICARE provider charged with managing healthcare, including the authorization of referrals for a prescribed area.
- 27. Qualified Screener. An AOHCP Coast Guard medical officer. Other licensed physician or psychologist who is trained and privileged to provide diagnostic screening for substance abuse or dependency. Coast Guard medical officers may request drug and alcohol screening privileges to the Professional Review Committee through the normal privileging process. Attendance at the Addictions Orientation for Health Care Providers (AOHCP) or equivalent (e.g., Certified Addictions Counselor program), or documented professional experience and training in substance abuse and dependency (last three years), are required

- for obtaining drug and alcohol screening privileges. The Professional Review Committee will evaluate non-AOHCP training and experience requests for drug and alcohol screening privileges.
- 28. <u>Recovering Alcoholic</u>. A person whose alcohol dependency has been suppressed through abstinence and whose sobriety is maintained through a continuing personal program of recovery.
- 29. <u>Rehabilitation</u>. Restoration to a normal or optimum state of health and constructive activity through medical treatment, physical and/or psychological therapy.
- 30. Relapse. A return to an addictive drinking pattern.
- 31. <u>Responsible Alcohol Consumption</u>. Per the National Institute of Alcohol Abuse and Alcoholism, responsible alcohol use is defined as no alcohol consumption under 21 years of age, no driving under the influence of alcohol, no more than one standard drink per hour and no more than three standard drinks per occasion not to exceed 15 per week for males. One standard drink per day not to exceed 5 per week for females.
- 32. <u>Self-Referral</u>. Members who, on their own accord, seek personal assistance for a perceived alcohol-related problem without occurrence of an alcohol incident.
- 33. <u>Slip.</u> The short term minimal consumption of alcoholic beverages by someone diagnosed with alcohol dependency. In 12 Step terms "Sobriety Loses Its Priority."
- 34. <u>Spouse.</u> A person whose relationship to the sponsor leads to eligibility for TRICARE medical benefits.
- 35. <u>Standard Drink.</u> A standard drink is any drink that contains about 14 grams of pure alcohol (about 0.6 fluid ounces or 1.2 tablespoons). A 12 ounce beer, 8 ounces of malt liquor, 5 ounces of table wine or 1.5 ounces of 80 proof spirits (hard liquor).
- 36. <u>Substance Abuse</u>. The use of a substance by a member, which causes other (performance of duty, health, behavior, family, community) problems or places the member's prevention at risk.
- 37. <u>Substance Abuse Free Environment (PREVENTION)</u>. A prevention-based program that provides uniform substance abuse training throughout the Coast Guard. PREVENTION offers awareness and prevention training at all levels over the course of a person's career. Commandant (CG-1111) maintains and updates the PREVENTION program. PREVENTION training is required every three (3) years throughout a member's career.
- 38. <u>Substance Abuse Prevention Specialists (SAPS)</u>. HSWL SC personnel assigned to detached duty at major commands that form the Substance Abuse Prevention Program Their primary purpose is substance abuse prevention education, CDAR oversight and resource provision for the area of substance abuse.
- 39. <u>Substance Abuse Rehabilitation Program (SARP)</u>. The Navy's Substance Abuse Rehabilitation Program.
- 40. <u>Tolerance</u>. Generally speaking, the amount of alcohol that a person consumes. Clinical definition is the resistance of the body to the pharmacological effects of alcohol or drugs, gradually increasing as use continue, and the body adapts to it.

- 41. <u>Treatment</u>. Includes inpatient/outpatient medical treatment, counseling, or other appropriate care administered to the recovering or alcohol abusive/dependent member in an effort to redirect life patterns and attitudes.
- 42. <u>Twelve Step/Support Group Meetings</u>. Support groups that meet to help individuals and/or their families cope with the various residual effects of alcohol use and abuse. The only Twelve Step or Support Group meetings authorized for Coast Guard members' aftercare must be "Abstinence-Based".
- 43. <u>Withdrawal Symptoms</u>. Characteristic reactions and behaviors resulting from abruptly stopping the use of alcohol or drugs that the body has become reliant upon. Withdrawal symptoms vary in intensity depending on the time, duration, and amount of a substance used. Common reactions include insomnia, anxiety, tremors ("the shakes"), sweating, seizures, and hallucinations ("DTs"). Withdrawal symptoms from alcohol and various drugs can be fatal.

#### APPENDIX B. COORDINATING PERSONAL WELLNESS PROFILES

A. <u>Purpose</u>. Guidelines in this appendix are for use by the UHPC when administering the Wellsource Personal Wellness Profile (PWP) to the general Coast Guard population. Although similar, this should not be confused with the monthly physical fitness assessment required by those not in compliance with Coast Guard weight and body fat standards. Specific guidance for that special population can be found in Reference (a).

#### B. Discussion.

- 1. The PWP is a comprehensive self-administered questionnaire that is used as a tool for evaluating lifestyle habits and providing suggestions to modify risky behaviors.
- 2. The PWP provides the participant with an individualized, personalized report with an evaluation of risk associated with current lifestyle practices and recommendations for reducing those risks factors. Areas addressed include the following: overall wellness, fitness, heart health, nutrition, substance abuse, stress/coping, preventionty, and cancer risk.

### C. Administration.

- 1. The PWP assessment includes three components:
  - a. The PWP Questionnaire. A confidential health survey that participants complete as part of the program.
  - b. Fitness Assessments. This includes a one-mile walk or 1.5 mile run, a sit up or curl up test, a push up test, and a calculation of body fat percentage. Scores are compared to a standard that has been set for age and gender groups. All screening/fitness tests are optional, but strongly recommended.
  - c. Clinical Screening. This includes a fasting or non-fasting cholesterol/glucose testing (e.g., HDL, Total Cholesterol, Glucose, Risk Ratio). This screening is optional but recommended. Blood pressure and resting heart rate screening are also recommended.
- 2. <u>Implementation</u>. Administration of all three of the PWP components (health questionnaire, fitness assessments, and clinical screening) is not necessary to receive a PWP printed report. Individuals may simply complete the PWP questionnaire to receive an individual report. However, having participants complete as many components as possible will increase the predictive accuracy of a risk factor assessment and will result in a more comprehensive health summary for the member.
- 3. Executive Summary. In addition to individual reports, an Executive Summary is provided to the UHPC and the command for units with at least 50% completion. The Executive Summary summarizes the primary health findings for those individuals who completed the PWP. Unit health needs and risks are presented along with recommendations for initiating risk reduction and health enhancement programs. This information is very helpful in planning a comprehensive health promotion program for the unit.

#### D. Planning.

1. Obtain command approval.

- 2. Try to schedule the fitness assessment as either "all hands" or "command required" to maximize participation.
- 3. Ensure appropriate facilities for fitness assessment (e.g., soft surface for sit ups, flat course for run, etc).
- 4. Inform and train staff and volunteers regarding fitness assessment protocols. This ensures that results are valid.
- 5. Pregnant women should not participate in fitness testing unless their doctor's permission is obtained.
- 6. For blood tests, contact the regional HPM or other skilled technician to administer the appropriate testing protocols (fasting, non-fasting) for cholesterol testing. Dispose of blood contaminated and biohazard materials appropriately.
- 7. Ensure that required equipment (e.g., blood pressure cuffs, stop watch, flexibility box, etc.) is accessible and available.
- 8. Ensure that emergency protocols are in place (e.g., CPR trained tester desired, etc).
- 9. Inform participants when and how they will receive their results.
- 10. Screen participants for health problems (e.g., bad back, joint problems, flu, etc). Participants who report these symptoms should not participate in the fitness assessment portion of the health screening.
- 11. Conduct assessments according to established protocols. In order to derive accurate data for research, it is critical that established protocols are followed (Appendix E).
- 12. The Health & Fitness Assessment Data Sheet Form, CG-6200A, may be utilized to record fitness and clinical assessment data. Go to: <a href="http://www.uscg.mil/worklife/personal\_wellness.asp">http://www.uscg.mil/worklife/personal\_wellness.asp</a> to access this form.
- E. <u>Physical Activity Readiness Questionnaire (PAR-Q)</u>. A PAR-Q shall be completed by all civilian participants prior to fitness testing. See Appendix D.

# F. Waist to Hip Ratio.

- 1. <u>Purpose</u>. To evaluate the distribution of fat on the body. The higher the waist-to-hip ratio, the greater the risk of certain diseases, such as cardiovascular diseases and non-insulin dependent diabetes.
- 2. Required Equipment. Gulick II or cloth tape measure.
- 3. <u>Procedures</u>. It is best to obtain these measurements against bare skin. Therefore, only males should test males, and females test females when possible.
- 4. Waist Measurement.
  - a. Participant should stand very straight with the abdomen relaxed and feet together.
  - b. The cloth tape measure is placed around the body in a horizontal plane at the level of the natural waist. This should be the narrowest part of the torso. The measurement should not be taken at the level of the umbilicus (navel) this value would be too great.

- c. The measurement should be taken at the end of a normal breath (exhale) and the tape should not compress the skin.
- d. Record value to the nearest tenth of an inch.

# 5. <u>Hip Measurement</u>.

- a. The participant should stand very straight with the abdomen relaxed and the feet together.
- b. The cloth measure is placed around the hips in a horizontal plane at the level of the maximum (widest) extension of the buttocks.
- c. The tape should not compress the skin.
- d. Record the value to the nearest tenth of an inch.
- 6. The Waist-to-Hip "High" category risk level scores are based on Canadian Standardized Test of Fitness Guidelines.

Risk Level	Men	Women
Low	<.85	<.75
Moderate	.8595	.7580
High	>.95	>.80

Table B-1: Waist-to-Hip Guidelines

<u>Fitness Test Protocols</u>: Fitness test protocols for all assessments throughout the Coast Guard can be found in Appendix E.

#### APPENDIX C. EXERCISE GUIDELINES

- A. Health-related components of Physical Fitness. There are five components of physical fitness: (1) body composition, (2) flexibility, (3) muscular strength, (4) muscular endurance, and (5) cardiorespiratory endurance. A well-balanced exercise program should include activities that address all of the health-related components of fitness. Aerobic activities develop cardiorespiratory endurance and burn calories to aid in achieving a healthy body composition. Muscle-strengthening activities develop muscular strength and endurance and assist with the development of a healthy body composition. Activities such as stretching and yoga help improve flexibility.
- B. <u>Guidelines</u>. Physical activity guidelines for adults are presented below. Directions for completing a personal fitness plan can be found in Reference (a).

# C. Aerobic Activities.

- 1. According to the Centers for Disease Control, adults should perform 2 hours and 30 minutes (150 minutes) per week of moderate-intensity aerobic activity OR 1 hour and 15 minutes (75 minutes) per week of vigorous-intensity OR an equivalent mix of both.
- 2. Aerobic activity should be performed for at least 10 minutes at a time and spread throughout the week.
- 3. For greater health benefits, 5 hours (300 minutes) per week at a moderate-intensity level OR 2 hours and 30 minutes (150 minutes) at a vigorous-intensity level OR an equivalent mix of both is recommended.
- 4. The American College of Sports Medicine states that moderate-intensity physical activity between 150-250 minutes per week is effective in preventing weight gain, but will provide only modest weight loss. Physical activity greater than 250 minutes per week is recommended for weight loss and the prevention of weight gain.
  - a. Examples of **Moderate-Intensity** Physical Activities.
    - (1) Walking briskly (about 3 miles per hour or faster but not race walking).
    - (2) Water aerobics.
    - (3) Bicycling, riding less than 10 mph.
    - (4) Tennis (doubles).
    - (5) Ballroom dancing.
    - (6) General gardening.
  - b. Examples of **Vigorous-Intensity** Physical Activities.
    - (1) Race walking, jogging, and running.
    - (2) Bicycling 10 mph or faster.
    - (3) Swimming laps.
    - (4) Aerobic dancing.
    - (5) Jumping rope.

#### COMDTINST M6200.1B

- (6) Heavy gardening (continuous digging or hoeing with heart rate increases).
- (7) Hiking uphill or with a heavy backpack.
- c. Muscle-Strengthening Activities.
  - (1) Muscle-strengthening activities should be performed on 2 or more days per week.
  - (2) Muscle-strengthening activities do not count toward the aerobic activity total.
  - (3) All major muscle groups should be worked. These are the legs, hips, back, abdomen, chest, shoulders, and arms.
  - (4) Exercises for each muscle group should be repeated 8 to 12 times per set. As exercises become easier, increase the weight or do another set.
  - (5) Examples: Lifting weights, working with resistance bands, or doing exercises that use body weight for resistance (e.g., push-ups, sit-ups, etc.).

# d. Flexibility.

(1) Each time you perform aerobic or strength-training activities, take an extra 10 minutes to stretch the major muscle groups.

Hold stretches for 10 to 30 seconds and repeat each stretch 3 to 4 times.

# APPENDIX D. PHYSICAL ACTIVITY READINESS QUESTIONNAIRE (PAR-Q), Form **CG-6200**

The PAR-Q can be found on the following site: <a href="http://www.uscg.mil/worklife/fitness\_help.asp">http://www.uscg.mil/worklife/fitness\_help.asp</a>

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD CG-6200 Rev. (04-07)	U.S. COAST GUARD  HOMELAND SECURITY U.S. COAST GUARD  (DAD O)						
A PAR-Q should be co highly encouraged for a	mpleted by all nonmilitary participants (civilians, beneficiaries, retirees, and auxiliarists). It is all military participants.						
	READINESS ASSESSMENT						
Has your doctor sa	aid you have heart trouble?						
Yes	No I do not know or I do not remember						
Do you frequently	suffer from pain in your chest?						
Yes	No I do not know or I do not remember						
Do you often feel to	faint or have spells of dizziness?						
Yes	No I do not know or I do not remember						
Has a doctor ever	said your blood pressure was too high?						
Yes	No I do not know or I do not remember						
	<ol> <li>Has a doctor ever told you that you have a bone or joint problem, such as arthritis, that has been aggravated by exercise or might be made worse with exercise?</li> </ol>						
Yes	No I do not know or I do not remember						
6. Is there a good ph wanted to?	ysical reason not mentioned here why you should not follow an activity program even if you						
Yes	No I do not know or I do not remember						
7. Are you over age	65 and not accustomed to vigorous exercise?						
Yes	No I do not know or I do not remember						
If a participant answer clearance is obtained.	s yes to any question, vigorous exercise, or exercise testing should be postponed until medical "I do not know" answers should be researched further to determine testing suitability.						
I have read, underst∞	d, and completed this questionnaire. Any questions I had were answered to my full satisfaction.						
Name	Date						
Signature	Witness						
Reference: PAR Q Val	Reference: PAR Q Validation Report, British Columbia Ministry of Health, 1978.						
	PRIVACY ACT STATEMENT						
Authority: 5, 10, and 14 United States Code and Executive order  Principle Purpose: To complete a Physical Activity Readiness Questionnaire (PAR-Q) prior to beginning an exercise program or test.							
	ermine health & fitness readiness according to military standards. Information will be released to authorized personnel						
Disclosure: Voluntary; how	ever, failure to furnish the requested information will impede on determining the health and fitness process.						
U.S. DEPT. OF HOMELAND	SECURITY, USCG, CG-6200 (Rev. 04-07)						

### APPENDIX E. FITNESS ASSESSMENT PROTOCOLS AND PROCEDURES

- A. <u>Purpose</u>: These protocols are guidelines to administer fitness tests and assessments throughout the Coast Guard including the Deployable Operations Group, Law Enforcement Teams, Maritime Law Enforcement Academy and other training schools when appropriate and fitness tests are required. Protocols are taken from the Cooper Institute's Physical Fitness Assessments and Norms, 2009.
- B. <u>Fitness Norms</u>: The norm charts included in this appendix are a representation of how individuals compare to one with regard to performance on the physical fitness tests. The Cooper Institute has one of the largest and most valid data bases in the world with respect to fitness norms. There are two types of norms that the Coast Guard uses for fitness testing:
  - 1. Age and Gender Norms: Age and gender norms are a representation of how individuals in a specific age and gender group compare to one another with regard to performance on physical fitness tests. Age and gender norms are acceptable for use in all Coast Guard fitness tests and assessments except Tier 1 DOG Fitness Standards.
  - 2. Absolute Norms: Absolute norms are minimal scores or "cut-points" that have been determined in law enforcement validation studies as the fitness standard that must be attained by everyone regardless of age, gender or handicapping conditions for the person to be considered fit for duty. Absolute Norm tables are not depicted in this appendix but can be found in the manuals that require absolute norm standards, such as Reference (g).
- C. <u>Procedure and Order of Testing</u>: Following a standardized protocol for order of testing ensures that every fitness assessment is delivered fairly every time. In the case of mandatory fitness tests, where members are required to pass a battery of tests for selection, assignment or maintenance of a unit fitness standard, the member must pass all components of the fitness test at one time. If one component of the test is failed, the entire test battery must be repeated during re-assessment, not just the portion of the test that was failed. According to the National Strength and Conditioning Association, a battery of fitness tests should occur in the following order:
  - 1. Non-Fatiguing Tests (height/weight measurements, body fat tests, vertical jump), then rest for two minutes
  - 2. Muscular Strength (sit ups, 1 repetition maximum bench press), then rest for 5 to 10 minutes
  - 3. Speed (300 meter run), then rest for 5 to 10 minutes
  - 4. Muscular Endurance (push up), then rest for 5 to 15 minutes
  - 5. Cardiovascular Endurance (1.5 mile run), then cool down for 5 minutes
  - 6. Flexibility

### D. 1.5 Mile Run Test.

1. <u>Test Description</u>. This is an aerobic fitness test designed for young people and runners. The runner covers a distance of 1.5 miles in as short a time as possible without undue strain. Aerobic capacity is determined from total elapsed time.

# 2. Required Equipment.

- a. Stop watch to time the run to the nearest second.
- b. An accurately measured, flat, 1.5 mile course or  $\frac{1}{4}$  mile track (6 laps = 1.5 miles).
- 3. <u>Test Guidelines</u>: The following are some guidelines to be followed in preparation for the 1.5 mile run test.
  - a. Members should not eat a heavy meal or smoke for at least 2 to 3 hours prior to the test.
  - b. Members should warm up and stretch thoroughly prior to the test.
  - c. Members should practice pacing themselves prior to the test.
  - d. Members may attempt to run too fast early in the run and become fatigued prematurely. Running partners may accompany members around the track to help pace them.

### 4. Test Administration.

- a. Participants should be in good health and currently used to running, not beginners. Before testing, verify that the pre-test screening items have been completed (i.e., PAR Q). The tester should have participants warm-up and cool down after the run.
- b. Participants should be dressed in clothes ready to exercise, preferably exercise shorts or pants and running shoes.
- c. Instruct participants to:
  - (1) Warm up by walking at a moderate pace for 2 to 5 minutes.
  - (2) The participant runs 1.5 miles as fast as possible. If a 440 yard track is used, 6 laps must be completed using the inside lane (lane 1). If using a 400 meter track, an additional 15 yards must be run after the 6 laps are completed.
  - (3) During the administration of the test, the participants can be informed of their lap times. Finish times should be called out and recorded.
  - (4) Upon test completion, a mandatory cool down period is enforced. The participants should walk slowly for about five minutes immediately after the run to prevent pooling of blood in the lower extremities.
  - (5) If participants experience any pain or severe shortness of breath or other abnormal signs, they should walk or stop and seek medical attention if necessary.
    - 1.5 Mile Norms for Men and Women: These tables represent the Cooper Institute's Norms for completion of a 1.5 mile run for civilian populations. These tables do not represent the pass/fail norms for any specific Coast Guard qualification requirement. Those norms can be found in those respective manuals (list manuals which have this requirement).

Age (years)	20 – 29	30 – 39	40 – 49	50 – 59	60+
Superior	< 9:17	< 9:33	< 9:51	< 10:37	< 11:26
Excellent	9:18-10:09	9:34 - 10:46	9:52 – 11:15	10:28 – 12:08	11:27 – 13:23
Good	10:10-11:29	10:47 - 11:54	11:16 – 12:24	12:09 – 13:35	13:24- 15:04
Fair	11:30-12:38	11:55 – 12:58	12:25 – 13:50	13:36– 15:06	15:21 – 16:46
Poor	12:39-14:00	12:59 – 14:34	13:51 – 15:24	15:07 – 16:58	16:46 – 19:10
Very Poor	> 14:00	> 14:34	> 15:24	>16:58	>19:10

**Table E-1: 1.5 Mile Norms for Men (Minutes: Seconds)** 

Age (years)	20 – 29	30 – 39	40 – 49	50 – 59	60+
Superior	<10:28	< 11:00	<11:33	<12:53	<14:05
Excellent	10:29 – 11:58	11:01 – 12:24	11:34 – 13:23	12:54 - 14:34	14:06– 16:33
Good	11:59 – 13:24	12:25 - 14:08	13:24 – 14:53	14:35 – 16:35	16:34 – 18:27
Fair	13:25 – 14:50	14:09 – 15:43	14:54 – 16:31	16:36 – 18:18	18:28-20:16
Poor	14:51- 16:46	15:43 – 17:38	16:32 – 18:37	18:19 – 20:44	20:16 – 22:52
Very Poor	>16:46	> 17:38	>18:37	>20:44	>22:52

**Table E-2: 1.5 Mile Norms for Women (Minutes: Seconds)** 

#### E. One Mile Walk Test.

- 1. <u>Test Administration</u>. The purpose of this test is to estimate cardiorespiratory fitness level (VO<sub>2</sub> max).
- 2. An accurately measured course of exactly one mile is necessary. A ¼ mile running track is ideal. A pulse rate monitor devise is required for this test. Clients are instructed to walk one mile *as fast as possible*. Running or jogging is *not* allowed. *Immediately upon completion* of the one mile walk, the pulse rate should be recorded from the pulse rate monitor. Do *not* use a ten second pulse check, this will invalidate the test. After completing the test, the client should continue walking slowly for 5 minutes to cool down.
- 3. <u>Calculation of Estimated VO<sub>2</sub> max</u>. Knowing the client's weight (WT), age, sex, one mile walk time (T) and one mile walk heart rate (HR), a good estimate of VO<sub>2</sub> max can be obtained by using the following formula:

$$VO_2 \text{ max} = 132.853 - (0.0769 \text{ x WT}) - (0.3877 \text{ x AGE}) + (6.3150 \text{ x SEX}) - (3.2649 \text{ x T}) - (0.1565 \text{ x HR})$$

#### Where:

WT = Weight in pounds AGE = Age in years SEX = 0 for female, 1 for male T = Walk time in minutes and seconds, to the nearest tenth of a minute (seconds divided by 60 = tenths of a minute)

HR = Heart rate in beats/minute at the end of the walk

Compare with norms for VO<sub>2</sub> max in this section to determine percentile ranking and fitness category.

Source: Kline, et. Al. Estimation of VO<sub>2</sub> max from a one mile track walk. *Medicine and Science in Sports and Exercise* 19(3):253-259, 1987.

Age (years)	20 – 29	30 – 39	40 – 49	50 – 59	60+
Superior	55.5	54.1	52.5	49.0	45.7
Excellent	55.4-51.1	54.0 - 48.3	52.4 – 46.4	48.9 – 43.3	45.6 – 39.6
Good	51.0-45.6	48.2 - 44.1	46.3 – 42.4	43.2 - 39.0	39.5–35.6
Fair	45.5-41.7	44.0 - 40.7	42.3 - 38.4	38.9–35.5	35.4 - 32.3
Poor	41.6-38.0	40.6 - 36.7	38.3 - 34.8	35.4 - 32.0	32.2 - 28.7
Very Poor	< 38.0	< 36.7	< 34.8	<32.0	<28.7

Table E-3: 1 Mile Walk Test Male Norms Max VO2

Age (years)	20 – 29	30 – 39	40 – 49	50 – 59	60+
Superior	49.6	47.4	45.3	46.1	41.0
Excellent	49.5 – 43.9	47.3 - 42.4	45.2 - 39.6	46.0 - 36.7	39.9–36.7
Good	43.8 - 39.5	42.3 - 37.7	39.5 – 35.9	36.6 - 32.6	36.6 – 32.6
Fair	39.4 – 36.1.	37.6 - 34.2	35.8 - 32.8	32.5 - 29.9	32.5–29.9
Poor	36.0- 32.3	34.1 – 30.9	32.7- 29.4	29.8 - 26.8	29.8 - 26.8
Very Poor	<32.3	< 30.9	<29.4	<26.8	<26.8

Table E-4: 1 Mile Walk Test Female Norms Max VO2

	Men Under 40	Men Over 40	Women Under 40	Women Over 40
Excellent	13:00 or less	14:00 or less	13:30 or less	14:30 or less
Good	13:01-15:30	14:01-16:30	13:31-16:00	14:31-17:00
Average	15:31-18:00	16:31-19:00	16:01-18:30	17:01-19:30
Below Average	18:01-19:30	19:01-21:30	18:31-20:00	19:31-22:00
Low	19:31 or more	21:31 or more	20:01 or more	22:01 or more

**Table E-5: 1 Mile Walk Text Norms in Minutes** 

# F. Push Up Test.

- 1. Test Description: This test measures muscular endurance of the upper body (anterior deltoid, pectoralis major, triceps). All fitness assessments should follow the protocol below for the push up test with these exceptions:
  - a. Personal Wellness Profile Assessment male participants should use the maximum push up test. Follow the same protocol as the one minute push up test but continue the test until fatigue or until proper form can no longer be maintained. No resting is allowed.

b. Personal Wellness Profile Assessment female participants should use the maximum push-up test in the modified position. The modified push up is performed on the hands and knees with the back straight and hands slightly in front of the shoulders in the up position. Continue the test until fatigue or until proper form can no longer be maintained. No resting is allowed.

### 2. Required Equipment:

- a. Gym mat, or suitable flooring.
- b. Stop watch or timing device.

#### 3. Test Administration:

- a. Have the member place his/her hands slightly wider than shoulder width apart, with fingers pointing forward.
- b. Starting from the up position (elbows extended), the subject must keep the back straight at all times and lower the body to the floor until the upper arms are at a 90 degree angle.
- c. Subject then returns to the starting position. This is one repetition.
- d. Resting can only be done in the up position. Both hands must remain in contact with the floor at all times. Exception: the push-up test protocol for the PWP does not allow any resting and does not have a time limit. The test is terminated when the participant can no longer maintain proper form or until fatigue.
- e. The total number of correct pushups completed is recorded as the score.

<u>Men</u>	20 – 29 yrs	30 – 39yrs	40 – 49	50 – 59	60 + yrs
			yrs	yrs	
Superior	62+	52+	40 +	39 +	28 +
Excellent	47 – 61	39 – 51	30 – 39	25 - 38	23 - 27
Good	37 – 46	30 - 38	24 - 29	19 – 24	18 - 22
Fair	29 – 36	24 – 29	18 - 23	13 – 18	10 – 17
Poor	22 - 28	17 – 23	11 - 17	9 – 12	6 – 9
Very Poor	13 – 21	9 – 16	5 – 10	3 – 8	2 - 5

TableE-6: Push Up Test Norms for Men 1 Minute Test

Women	20 – 29 yrs	30 - 39  yrs	40 – 49
			yrs
Superior	42+	39+	20 +
Excellent	28-41	23-38	15-20
Good	21-27	15-22	13-14
Fair	15-20	11-14	9-12
Poor	10-14	8-10	6-8
Very Poor	3 – 9	1-7	0-5

Table E-7: Push Up Test Norms for Women 1 Minute Test

\*\* Coopers doesn't have full body push up norms for women over 50.

Women	20 – 29 yrs	30 - 39  yrs	40 – 49	50 – 59	60 + yrs
			yrs	yrs	
Superior	45 +	39 +	33 +	28 +	20 +
Excellent	36 – 44	31 - 38	24 - 32	21 - 27	15 -19
Good	30 - 35	24 - 30	18 - 23	17 - 20	12 - 14
Fair	23 - 29	19 - 23	13 - 17	12 – 16	5 – 11
Poor	17 - 22	11 -18	6 – 12	6 – 11	2 - 4
Very Poor	< 16	< 11	< 6	< 6	< 2

Table E-8: Push Up Test Norms for Modified Push Up

### G. Sit-Up Test.

1. Test Description. This is an easily administered test for measuring abdominal strength/endurance. The subject does as many bent knee sit-ups as possible in one minute.

# 2. Required Equipment.

- a. Gym mat or suitable flooring.
- b. Stop watch or watch with a second hand.

### 3. <u>Test Administration</u>.

- a. Test subject should be screened for lower back impairment or pain. Persons suffering back pain or high, uncontrolled blood pressure, should not do this test.
- b. Be sure participants are well instructed in the proper technique. Describe and if needed, demonstrate the correct technique. They may want to practice once or twice before beginning the test.
- c. Instruct the subjects to:
  - (1) Lie on their back on a mat, knees bent at a 90 degree angle, feet shoulder width apart with heels on the floor and hands cupped behind the ears. Exemption: for the PWP, arms are crossed in front of the body with fingertips on shoulders.
  - (2) A partner holds the feet down firmly.
  - (3) The subject then performs as many correct sit ups as possible in one minute.

- (4) In the up position, the individual should touch elbows to knees and then return until the shoulder blades touch the floor.
- (5) Breathing should be as normal as possible, making sure the subject does not hold their breath.
- (6) Neck remains in the neutral position. Do not pull on the head or neck.

Age (years)	<20	20 – 29	30 – 39	40 – 49	50 – 59	60 – 69
Superior	62+	55+	51+	47+	43+	39+
Excellent	51 - 61	47 - 54	43 - 50	39 – 46	35 - 42	30 - 38
Good	47 - 50	42 - 46	39 - 42	34 - 38	28 - 34	22 - 29
Fair	41 - 46	38 - 41	35 - 38	29 - 33	24 - 27	19 - 21
Poor	36 - 40	33 - 37	30 - 34	24 - 28	19 - 23	15 – 18
Very Poor	<36	<33	< 30	<24	<19	<15

Table E-9: Sit-Up Norms for Men 1 Minute

Age (years)	<20	20 - 29	30 – 39	40 – 49	50 – 59	60 – 69
Superior	55 +	51 +	42 +	38 +	30+	28 +
Excellent	46 - 54	44 - 50	35 - 41	29 - 37	24 - 29	17 - 27
Good	36 - 45	38 - 43	29 - 34	24 - 28	20 - 23	11 – 16
Fair	32 - 35	32 - 37	25 - 28	20 - 23	14 – 19	6 – 10
Poor	28 - 31	24 - 31	20 - 24	14 – 19	10 – 13	3 – 5
Very Poor	< 28	< 24	<20	<14	<10	<3

**Table E-10: Sit-Up Norms for Women 1 Minute** 

#### H. Abdominal Curl Ups.

1. <u>Test Description</u>. The abdominal curl-up is an alternative to sit-ups when testing for abdominal strength/endurance. The advantages suggested for this test is that it puts less strain on the back, better isolates the abdominal muscles and minimizes the hip flexors.

#### Required Equipment.

- a. Gym mat.
- b. Ruler.
- c. Small blocks for fingers to touch in order to signal person when they have moved hands 3 inches forward.
- d. Stop watch of watch with second hand.

### 3. Test Administration.

- a. Test subject should be screened for lower back pain. People suffering from back pain or uncontrolled high blood pressure should not do this test.
- b. Instruct subject to:
  - (1) Lie on their back on a mat with knees bent, feet shoulder width apart.

- (2) Arms are fully extended by the sides, palms down with fingers extended. A piece of masking tape is placed perpendicular to the fingertips of each hand such that the fingertips are at the front edge of the tape. Another piece of tape is placed parallel to and three inches in front of the tape at the fingertips.
- (3) While holding participant's feet, participant must move both hands along the floor a distance of three inches by flexing the trunk (fingertips are moving from one piece of tape to the next). Upon returning to the floor (shoulder blades touching the floor), one repetition is counted. (When conducting a PWP the feet should not be held).
- (4) Instruct the subject to do as many curl-ups in one minute as they can without undue strain and while breathing as normally as possible.
- 4. Test Scores for the Curl-up. The Cooper Institute does not have norms for the one minute curl up test, nor are there published norms derived from large population studies. The norms below are based on a study published in the Medicine and Science in Sports and Exercise, Volume 13, pages 54-59, 1981. The scores listed above are based on preliminary research and should be used only as a general guideline. Persons can also use their first time test scores as a baseline by which to show future change and improvement with training.

Age	18-	-29	30-	-39	40-	-49	50-	-59	60	)+
Sex	F	$\mathbf{M}$	F	M	F	M	F	M	F	M
Advanced	>45	>50	>40	>45	>35	>40	>30	>35	>25	>30
Intermediate	25-45	30-50	20-40	22-45	18-35	21-40	12-30	18-35	11-25	15-30
Beginner	<25	<30	<20	<22	<18	<21	<12	<18	<11	<15

Table E-11: Test Scores for the Curl-up

#### I. Flexibility: Sit and Reach Test.

Test Description. This test measures flexibility of the hamstrings and low back. Flexibility
is not considered a good predictor of overall fitness and is not recommended for inclusion
in testing for qualification or selection to a specific team or assignment.

### 2. Required Equipment.

- a. Gym mat.
- b. Flexibility box, or 12" high box and yardstick on box with 15" mark at the edge.

#### 3. Test Administration.

- a. Test subject should be screened for lower back impairment or pain. Persons suffering back pain should not do this test.
- b. Be sure participants are well instructed in the proper technique. Describe and if needed, demonstrate the correct technique as follows:
  - (1) Have subject warm up with slow stretching movements before attempting this test. An example of a good warm up stretch is a sitting toe touch.
  - (2) Remove shoes.

- (3) The feet are placed squarely against the box with the feet no wider than eight inches apart. Toes are pointed directly toward the ceiling.
- (4) The knees should remain extended throughout the test.
- (5) The hands are placed one hand on top of the other, fingertips even.
- (6) The yardstick is set on the box such that the 15" mark is flush with the edge of the box.
- (7) The subject leans forward without lunging or bobbing and reaches as far down the yard stick as possible. The hands must stay together and even and the stretch must be held for one second. Neck should remain in the neutral position.
- (8) Record the reach to the nearest ¼ inch.
- (9) Three trials are allowed; the best of the three trials is recorded. Exhaling on the reach is recommended.
- (10) NOTE: If the flexibility test is used for the PWP, subtract 4.75 from the score and enter this number into the PWP questionnaire.

Age	-<20	20 – 29	30 – 39	40 – 49	50 – 59	60+
(years)						
Superior	>/=23.4	>/=23	>/=22	>/=21.3	>/=20.5	>/=20
Excellent	21.7-22.6	20.5-21.8	19.5-21	18.5-20	17.5-19	17.3-19
Good	19-21.4	18.5-20	17.5-19	16.3-18	15.5-17	14.5-16.5
Fair	16.5-18.7	16.5-18	15.5-17	14.3-16	13.3-15	12.5-14
Poor	13.2-16	14.4-16	13-15	12-14	10.5-12.5	10-12
Very Poor	<13.2	<14.4	<13	<12	<10.5	<10

**TableE-12: Sit and Reach Flexibility Norms for Men (inches)** 

Age	<20	20 – 29	30 – 39	40 – 49	50 – 59	60+
(years)						
Superior	>/=24.3	>/=24.5	>/=24	>/=22.8	>/=23	>/=23
Excellent	22.5-24.3	22.5-23.8	21.5-22.5	20.5-21.5	20.3-21.5	19-21.8
Good	21.5-22.3	20.5-22	20-21	19-20	18.5-20	17-18
Fair	20.5-21.3	19.3-20.3	18.3-19.5	17.3-18.5	16.8-18	15.5-17
Poor	18.5-20	17-19	16.5-17.8	15-17	14.8-16	13-15.2
Very Poor	<18.5	<17	<16.5	<15	<14.8	<13

Table E-13: Sit and Reach Flexibility Norms for Women (inches)

# J. Vertical Jump Test

1. Test Description: This test is a measure of jumping or explosive power.

# 2. Required Equipment:

- a. Yardstick taped to a smooth wall, and
- b. Chalk dust or chalk for marking extension when jumping

### 3. Test Administration:

- a. Subject stands with one side toward the wall and reaches up as high as possible to mark his/her standard reach.
- b. Subject jumps as high as possible and mark the spot on the wall above his/her standard reach mark. Prior to jump, one foot must remain stationary on the floor.
- c. Score is the total inches, to the nearest ½ inch.
- d. The best of three trials is the score.

Age (years)	20-29	30-39	40-49	50-59
Superior	>26.5	>25	>22	>21
Excellent	24-26.5	22-24.5	19-21.5	17-20.5
Good	21.5-23.5	20-21.5	17-18.5	15-16.5
Fair	20-21	18.6-19.5	15.5-16.5	13.5-14.5
Poor	17.5-19.5	16.5-18.5	14.0-15.0	12-13
Very Poor	< 17.0	<16.0	<13.5	<12

**Table E-14: Vertical Jump Test Norms Men** 

Age (years)	20-29	30-39	40-49
Superior	>18.5	>17.0	>13.5
Excellent	17.5-18.0	15-16.5	13-13.5
Good	16.0-17.0	13.5-14.5	11.5-12.5
Fair	14.0-15.5	12-13	9.5-11
Poor	12.5-13.5	11-12	7.5-9
Very Poor	< 12	<11	<7.5

**Table E-15: Vertical Jump Test Norms Women** 

### K. 300 Meter Run Test.

1. Test Description: This test is an assessment of anaerobic power.

### 2. Required Equipment:

- a. 400 meter running track, or
- b. Any measure 300 meter flat surface that provides good traction

# 3. Test Administration:

- a. Allow subject to warm up and stretch before beginning test.
- b. If using a 400 meter track, participant runs ¾ of 1 lap (inside lane) at maximum level of effort.
- c. Time used to complete distance is recorded in seconds.
- d. Participant should walk for 3 to 5 minutes immediately following test to cool down. This is an important preventionty consideration.

Age (years)	20-29	30-39	40-49	50-59
Superior	<46	<46	<52	<58
Excellent	48-50	47-51	53-57	59-66.4
Good	51-54	52-55	58-64	67-74
Fair	55-59	56-59	65-72	75-83
Poor	60-66	60-68	73-83	84-95
Very Poor	>66	>68	>83	>95

**Table E-16: 300 Meter Run Norms Males** 

Age (years)	20-29	30-39	40-49
Superior	<54.3	< 56.5	<65
Excellent	56-58.3	60-66	66-72
Good	59.7-61	66.5-71	72-79
Fair	62.7-71	72-79	80.5-94
Poor	74.5-78	80.5-86	101.8-110
Very Poor	>78	>86	>110

**Table E-17: 300 Meter Run Norms Females** 

<sup>\*\*</sup> Coopers does not have 300 meter run norms for women over 50.

#### APPENDIX F. SAMPLE UNIT FITNESS INSTRUCTION

STASARINST 6200.1H

26 JUN 12

#### STATION NORTH BEACH INSTRUCTION 6200.1H

Subj: STA NORTH BEACH PHYSICAL FITNESS PROGRAM

Ref: (a) Weight and Body Fat Standards Program Manual, COMDTINST M1020.8 (series)

- (b) Health Promotion Manual, COMDTINST M6200.1 (series)
- 1. **PURPOSE**. To improve unit readiness while providing its members the tools to adopt healthy habits that they can carry with them throughout their Coast Guard career and beyond.
- 2. <u>ACTION</u>. All active duty personnel assigned to STA NORTH BEACH (NB) shall comply with this instruction. Reservists and civilian employees are strongly encouraged to participate.
- 3. **DIRECTIVES AFFECTED**. STASARINST 6200.1G is hereby cancelled.

### 4. **DISCUSSION**.

- a. Being healthy involves more than merely having a "healthy weight." It also involves eating well, exercising regularly, and avoiding harmful habits like tobacco and excessive alcohol use. Physical fitness is recognized as the factor most associated with significant improvements in all areas of wellness. STA NB recognizes the importance of having a crew that is fit and ready for deployment to accomplish all Coast Guard missions wherever the need arises. This instruction consolidates reference (a) with the STA NB Physical Fitness Program.
- b. The STA NB Physical Fitness Program incorporates these main components:
  - Formal unit-based workout program;
  - Semi-annual weigh-ins;
  - Semi-annual fitness assessments;
  - Annual completion of Personal Wellness Profiles (PWP); and,
  - Completion of Personal Fitness Plans.
- c. Supportive leadership is the cornerstone of this program and must exist for the program to be effective. All members have the opportunity to positively influence the health and readiness of their co-workers and subordinates.
- d. This initiative represents a major lifestyle change for some people and hopefully, all members will realize the value and benefits of the program, not only in increased physical ability to meet the Coast Guard's missions, but also in personal health.

### 5. **PROCEDURES**.

#### a. Formal unit-based workout program

- (1) The importance of having a unit-based workout program is to make working out more inclusive, thus providing additional support for those who are just beginning a fitness program. All Unit workout plans must be a balanced program that includes activities to develop all the health-related components of fitness: cardio-respiratory endurance, muscular strength and endurance, flexibility, and healthy body composition.
- (2) Department Heads shall manage this program in close consultation with their Unit Health Promotion Coordinator (UHPC). Department Heads are directly and personally responsible for the compliance of each member with this instruction's requirements. It is imperative to the success of the program that they maintain a positive attitude, visible role model, demonstrating their personal commitment and sense of duty to their and their subordinates health and suitability for world-wide deployment.
- (3) All military members within the unit are required to participate. Reservists and civilian participation is highly recommended and encouraged.
- (4) Unit workouts will occur at a minimum of three times a week for one hour during normal working hours at a time to be determined by each Department Head.
- (5) In addition to the three times a week unit workouts, each member is allowed and encouraged to work out one (1) hour daily for the remaining weekdays.
- (6) Commands, with the assistance of a designated UHPC and regional HPM, are required to outline a physical fitness program for their Unit. The primary focus of these programs will be on activities that produce a training effect (e.g., cardiorespiratory endurance activities such as running, cycling, and brisk walking and muscular strength and endurance activities such as weight lifting and other resistance exercises) in order to improve mission readiness and meet Coast Guard boat crew and law enforcement physical fitness standards. Unit workout plans will be re-evaluated by Department Heads and UHPCs on a semi-annual basis, following the fitness assessments in April and October. This will provide opportunity for Unit workout plans and Personal Fitness Plans to be evaluated for their effectiveness.
- (7) Department Heads shall ensure members assigned to detached locations comply with the spirit of the program via regular fitness activities similar to those activities of the Division physical fitness program.
- (8) Prior to a civilian member participating in this fitness program, a Physical Activity Readiness Questionnaire (PAR-Q) will be completed by the member and reviewed by the UHPC. If all answers on the PAR-Q are "No", the member is cleared to participate immediately. If any answers are "Yes", the member shall follow the steps in the next paragraph.
- (9) Civilian members who have any positive responses to the PAR-Q questions are required to see their health care provider. The health care provider will determine the extent of the member's ability to participate in the fitness program. If not cleared for full participation, the member will be required to participate in the program in accordance with any limitations recommended by the health care provider and the member must make a follow-up appointment to be re-evaluated for full participation in the fitness program.

(10) Members who exceed MAW standards are still required per reference (a) to see a health care provider to determine if the members can preventionly lose weight and participate in an exercise program.

# b. Semi-annual Weigh-ins:

- (1) Per Reference (a), all active duty and participating reserve members are required to be weighed on a semi-annual basis during the months of April and October. STA NB's Admin will administer the weight probation program. Selected Reserve members who exceed MAW will have limits imposed on the types of duties that they can perform and will be required to participate in physical fitness activities during IDT drills and annual training (ADT).
- (2) For members exceeding their MAW, a tensiometer tape will be used to ensure the accuracy of body fat measurements. Members exceeding both their MAW and body fat percentage will be placed on probation, during which they must lose their excess weight or body fat. Once placed on probation, the member will be required to complete a record of food intake for a 2-week period using a log provided by their UHPC. This log is to be used to raise individual awareness of dietary habits and as reference material during the initial consultation with a dietician and discussion with a Regional HPM. Department Heads shall be personally involved in monitoring a member's compliance with the weight probation program requirements, including ensuring a dietitian referral is obtained and the appointment is kept, and ensuring that monthly fitness assessments and follow-up weigh-ins are completed. The UHPC can be used to assist in these monitoring activities but shall not be expected to enforce the requirements.

#### c. Semi-Annual Fitness Assessment:

- (1) STA NB will use the physical fitness standards followed by Coast Guard boat crew and law enforcement personnel. These standards are required to ensure members have sufficient strength and endurance to perform duties during normal and adverse conditions. Only those members found by a health care provider to be medically incapable of completing the primary battery test requirements will be administered an alternate test. Appendix E of reference (b) contains assessment standards and protocols for primary and alternate test batteries.
- (2) All military members (active duty and reservists) assigned to STA NB will complete the Physical Fitness Assessment twice a year in April and October. UHPCs are responsible for the scheduling and administering of the fitness assessment. A makeup fitness assessment will be scheduled within 2 weeks for those members unable to participate in the all-hands session.
- (3) All members who attain the minimum boat crew/LE standards for all components of the fitness assessment will be granted 4 hours of special liberty. All members who attain fitness scores in all components of the assessment that fall into the "good" category or higher will be granted 8 hours of special liberty. Civilian employees will also be given the opportunity for a time off award under the same circumstances as military members. Department Heads shall submit time off awards for their civilians meeting the requirements. (Reservists who achieve a "good" or higher should also be recognized

through authorized means – consult COMDTINST 5320.3 Reserve Force Readiness System (RFRS) Staff Responsibilities for guidance.)

d. Personal Wellness Profile (PWP): To evaluate the impact of improved physical fitness on the health status of the Command, all military members will complete the PWP questionnaire each February. The PWP is a self-administered questionnaire that is used as a tool for evaluating lifestyle habits and providing suggestions to modify risky behaviors. After completing a PWP, participants receive a personalized report containing an evaluation of their health risks associated with current lifestyle practices and recommendations for modification of those risks. Areas addressed include overall wellness, fitness, heart health, nutrition, substance abuse, stress and coping, prevention, and cancer risk. The UHPCs will coordinate with the Regional HPM to arrange for PWP completion.

### e. Personal Fitness Plans:

- (1) Physical training works best when you have a plan. Keys to beginning and maintaining a successful program include starting slowly, increasing intensity and duration gradually, finding a training partner, varying the activities and intensity of the program and expecting fluctuations and lapses.
- (2) Upon reporting to STA NB, all military personnel shall develop a Personal Fitness Plan, Form CG-6049, which will be presented to their supervisor. Personal Fitness Plans shall address the health-related components of physical fitness and be well-balanced (e.g.,. incorporate specific activities that maintain and/or improve cardiorespiratory endurance, muscular strength and endurance, flexibility, and a health body composition). Personal Fitness Plans shall also include at least 3 days a week of vigorous physical activity. For assistance with completing a Personal Fitness Plan, contact your regional HPM.
- (3) Depending on the physical fitness of the member, engaging in a regular physical exercise during pregnancy is perfectly prevention and can help ease some pregnancy symptoms. Members who are pregnant are required to obtain a recommendation from their Obstetrics and Gynecology (OB/GYN) doctor during their first prenatal appointment on whether their condition allows them the ability to engage in a regular exercise program. After an exercise prescription has been obtained and the member is cleared by their OB/GYN doctor for exercise, the member may participate in the STA SAR fitness program according to the health care provider's recommendations.

#### f. Unit Health Promotion Coordinator (UHPC):

- (1) Units shall maintain at least one trained UHPC to help administer this program.
- (2) UHPCs will assist Department Heads with the administration of their unit-based workout program.
- (3) UHPCs will be included on the check-in sheet for newly arriving members. During the check-in process, UHPCs will review the PFP with the new member.
- (4) UHPCs will collectively serve as the Unit Health Promotion Committee along with representatives from the Galley, Morale Committee and the unit CDAR. The committee will continually review the effectiveness of the fitness program and, when necessary,

suggest revisions to ensure the program's purpose is met. The Health Promotion Committee will coordinate quarterly all-hands wellness events. The UHPC chairperson is the owner of this instruction and will report directly to the commanding officer.

6. <u>POLLUTION PREVENTION (P2) CONSIDERATIONS</u>. Pollution Prevention considerations were examined in the development of this directive and have been determined to be not applicable.

# 7. FORMS/REPORTS.

- a. Physical Activity Readiness Questionnaire (PAR-Q);
- b. Fitness Assessment Standards and Protocols;
- c. Personal Wellness Profile Frequently Asked Questions;
- d. Personal Fitness Plan, Form CG-6049;
- e. Physical Activity Readiness Medical Examination Form (PARmed-X); and,
- f. Nutrition Log.